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CS490: Problem Solving in Computer Science  
Lecture 8: Introductory Graph Theory III

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- Union Find
  
- Minimum Spanning Tree

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## Introduction

- ▶ union-find is a disjoint sets datastructure
- ▶ each element belongs to one set, identified by the “leader”
- ▶ the datastructure supports 2 operations:
- ▶ Find( $x$ ): given  $x$ , find  $x$ 's leader
- ▶ Union( $x, y$ ): merge  $x$ 's and  $y$ 's set together under one leader

## Simple Implementation

- ▶ Let us label the elements by integers 1 to  $n$ .
- ▶ Moreover, assign each element a direct superior.
- ▶ A leader's direct superior would be itself.

```
int FIND( int x ) {  
    if( uf[x] == x ) return x; // x is the leader  
    return FIND( uf[x] );  
}  
  
void UNION( int x, int y ) {  
    uf[FIND( x )] = FIND( y );  
}
```

## Simple Implementation

- ▶ Find() simply follows the  $uf[]$  links up until it reaches the leader
- ▶ Union() changes  $x$ 's leader to  $y$ 's, thus merging the two sets. (we could also have done it the other way)
- ▶ the running time is not that great here.
- ▶ Find() takes linear time.
- ▶ Union() calls Find() twice.
- ▶ Find() is the limiting factor  $\rightarrow$  how do we improve?

## Better Implementation

- ▶ We would like to reduce the steps needed to find  $x$ 's leader as much as possible.
- ▶ One technique for doing is called “path compression”.
- ▶ suppose we have called  $\text{Find}(x)$  and got  $z$ .
- ▶ we could then change  $uf[x]$  to  $z$ , so next time,  $z$  is returned right away when  $\text{Find}(x)$  is called.

## Better Implementation

```
int FIND( int x ) {  
    if( uf[x] != uf[uf[x]] ) uf[x] = FIND( uf[x] );  
    return uf[x];  
}
```

- ▶ the first line checks whether the path has length at least 2
- ▶ if it does we set  $uf[x]$  to the leader



## How is This Better

- ▶ This doesn't seem like an improvement
- ▶ Find(x) still takes linear time
- ▶ BUT, what about the next time?
- ▶ we need amortized analysis here(420)
- ▶ suppose we have n different people whose leader are all themselves
- ▶ then we execute k Union() and Find() operations in some unknown order
- ▶ our current implementation only require  $O(k \log(n))$  time

## Even Better Implementation

If we apply another technique called “union by rank”, we can reduce the running time to “almost linear”.

- ▶ need an extra array
- ▶ each node will now have a rank, starting at 1
- ▶  $\text{rank}[x]$  simply equals to the depth of the tree rooted at  $x$
- ▶ remember that in  $\text{Union}()$  we have a choice of how to merge
- ▶ now with the rank, we can pick the shallower tree and point it to the deeper one.
- ▶ this prevents any tree from becoming deeper than  $\log(n)$
- ▶ so by itself, union by rank also guarantee a running time of  $O(k \log(n))$  for  $k$  operations

## Even Better Implementation

Here would be an implementation of union-by-rank. As a bonus, this returns true if there really is some merge, false if  $x$  and  $y$  are already in the same set.

```
bool UNION( int x, int y ) {
    int xx = FIND( x ), yy = FIND( y );
    if( xx == yy ) return false;

    // make sure rank[xx] is smaller
    if( rank[xx] > rank[yy] ) { int t = xx; xx = yy; yy = t; }

    // if both are equal, the combined tree becomes 1 deeper
    if( rank[xx] == rank[yy] ) rank[yy]++;

    uf[xx] = yy;
    return true;
}
```

## Even Better Implementation

- ▶ Combining the two we will achieve  $O((k + n) \log^*(n))$
- ▶  $\log^*(n)$  is defined as
  - $\log^*(x) = 0$  if  $x \leq 1$ ;
  - $\log^*(x) = 1 + \log^*(\log(x))$  o/w
- ▶ for a value of  $n$  less than  $2^{64}$ ,  $\log^*(x)$  will be less than 5.
- ▶ you can find a proof in chapter 21 in the textbook.

- Union Find
  
- Minimum Spanning Tree

## Introduction

- ▶ a tree is an undirected, connected, acyclic graph.
- ▶ there is exactly one path between every pair of vertices in a tree
- ▶ a spanning tree of a given graph  $G=(V,E)$ , is a tree  $T=(V, E')$  where  $E'$  is a subset of  $E$ .
- ▶ a minimum spanning tree is the spanning tree with the minimum cost

## Kruskal's Algorithm

We will now introduce Kruskal's algorithm.

- ▶ this is a greedy algorithm
- ▶ starting with no edge in the spanning tree
- ▶ take the shortest edge and add it to the tree
- ▶ now take the rest of the edge in order of increasing length
- ▶ add them only if tree properties are preserved
- ▶ repeat until all vertices are connected

## Kruskal's Algorithm

```

int uf[128];

struct Edge {
    int u, v, w;           // a structure to represent an edge
    // the two endpoints and the weight
    bool operator<( const Edge &e ) const { return w < e.w; }
    // a comparator that sorts by least weight
};

Edge edges[100000];      // the graph represented as a list of edges
int n, m;                // the number of vertices and the number of edges

int kruskal() {

    sort( edges, edges + m );
    for( int i = 0; i < n; i++ ) uf[i] = i;

    int trees=n, sum=0; // the number of trees (parties), and the total weight

    for( int i = 0; i < m && trees > 1; i++ ) {
        if( UNION( edges[i].u, edges[i].v ) ) {
            trees--; // use edge i in the tree
            sum += edges[i].w;
        }
    }
    return sum;
}

```



## Union Find for MST

How is union find used here?

- ▶ first we sort the edge by increasing weight
- ▶ we do not need adjacency list or matrix, a list of edges will be enough
- ▶ elements in union find are the vertices
- ▶ initially every vertex are independent
- ▶ when we examine a new edge, we check weather the endpoints are already in the same set
- ▶ if they are not then it is safe to use this edge

So why is this algorithm correct? What about its complexity?

# What Else?

- ▶ References:
  - Frank and Igor's CS490 notes.
  - Cormen, Thomas H., et al. Introduction to Algorithms.
- ▶ homework help
- ▶ you will begin to present topics starting next week!