



# CPSC 490 – Problem Solving in Computer Science

Lecture 8: Tree DP, LCA Query, Graph DP

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A new kind of DP

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When we need to do something on a tree...

- What are the sub-problems? Subtrees!
- What are the base cases? Leaf nodes!

Tree DP example: compute the size of the tree

- Leaf (i.e. base case):  $f(u) = 1$
- Non-leaf (i.e. recurrence):  $f(u) = 1 + \sum_{v=\text{child of } u} f(v)$
- Answer:  $f(\text{root})$
- Time complexity:  $O(N)$

## Order Statistics in a BST

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- Modify your favorite balanced binary search tree (AVL, Splay, Red-Black Tree, etc) to support querying the  $k$ -th largest element

Solution

- In every node, keep track of the size of subtree
- Update operation: update child if necessary, then recompute size of current node
- Find  $k$ -th largest element: if  $\text{size}(\text{right subtree}) \geq k$ , find  $k$ -th largest element in right subtree, otherwise find  $(k - \text{size}(\text{right subtree}) - 1)$ -th largest element in left subtree
- All operations (insert, delete, find, get  $k$ -th largest) are  $O(\log n)$

## Problem 1 – Thinking Recursively

Given a rooted tree with  $1 \leq N \leq 100,000$  nodes, each node containing an integer value, compute the following statistics for every single subtree and for the entire tree:

- Size
- Height
- Diameter (length of longest simple path)
- Average of values
- Median of values
- $k$ -th largest value

## Problem 1 – Solution (Part 1)

Size of each subtree

- $f(\text{leaf}) = 1, f(\text{node}) = 1 + \sum f(\text{child})$

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Diameter of the tree

- Idea: keep two numbers per node
  - $f(u)$  = length of longest path that starts and ends in subtree of  $u$
  - $g(u)$  = length of longest path that starts in subtree and ends at  $u$
- $f(\text{leaf}) = g(\text{leaf}) = 0$
- $f(\text{node}) = \max\{f(\text{child}), 2 + \text{two largest values of } g(\text{child})\}$

Time complexity:  $O(N)$

## Problem 1 – Solution (Part 2)

Average

- $f(\text{node}) = \text{val}(\text{node}) + \sum f(\text{child})$
- $\text{Avg}(\text{node}) = f(\text{node})/\text{size}(\text{node})$

Time complexity:  $O(N)$

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Median,  $k$ -th largest value

- Idea: get sorted list of values from each child, then merge
- How do we merge?
- What data structure do we use?



# The Merging Sets Problem

## Naive Approach

- Fill up an array, then call `sort()`
- Time complexity: up to  $O(N \log N)$  per sort, so possibly worst case  $O(N^2 \log N)$  in a deep tree
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## Merging sets with BSTs

- Let's store each set using... a set!
- While there are  $> 1$  sets: pick any arbitrary pair, add all elements from the smaller set to the larger set

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- Let's store each set using... a set!
- While there are  $> 1$  sets: pick any arbitrary pair, add all elements from the smaller set to the larger set

## Time complexity

- Each time an element moves, it gets into a set twice as big
- $\rightarrow$  Each element is moved at most  $O(\log N)$  times
- $\rightarrow O(N \log^2 N)$  in total

## Problem 2 – Adding States

You are the head of the Maximum Wolf Trade Syndicate, a large organization of 10000 members. You are planning to hold a retreat involving some of the members.

The organization can be represented (in modern terminology) as a tree of managers. You want to select a subset of people that are connected in this tree.

- How many ways can you form the group if you are part of it?
- What if you are not part of the group?
- What if you want to limit the group size to  $K$ ? Assume  $K \leq 100$ .

## Problem 2 – Solution (Part 1)

Let  $f(u)$  = number of connected subgraphs of subtree rooted at  $u$ , that contains  $u$

- For each child  $v$  of  $u$ , we either choose to include it ( $f(v)$  ways), or we exclude it (exactly one way).
- $f(u) = \prod_{\text{child } v} (1 + f(v))$

$f(\text{root})$  gives the number of ways to select a group that contains you!

Time complexity:  $O(N)$

## Problem 2 – Solution (Part 2)

Let  $f(u)$  = number of connected subgraphs of subtree rooted at  $u$ , that contains  $u$  (we compute this as usual)

Let  $g(u)$  = number of connected subgraphs of subtree rooted at  $u$ , that does NOT contain  $u$

- For each child  $v$  of  $u$ , we just add all possible ways of putting the subgraph in that child – since we can't use more than one child without touching the node.
- $g(u) = \sum_{\text{child } v} (f(v) + g(v))$

$g(\text{root})$  gives the number of ways to select a group without you!

Time complexity:  $O(N)$

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- At each node, we use the same idea as before, but all we need to do is replace the simple product with a knapsack-like DP
- $h(i, j)$  = number of connected subgraphs of size  $j$  containing  $u$  in subtree rooted at  $u$ , given that we only use children  $1, \dots, i$
- $h(0, 1) = 1, h(0, j > 1) = 0, h(i, j) = \sum_{k=1}^j h(i-1, j-k) \cdot f(i, k)$

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$\sum_{k=1}^K f(\text{root}, k)$  and/or  $\sum_{k=1}^K g(\text{root}, k)$  now gives the answer!

Time complexity:  $O(NK^2)$

A different kind of tree DP

# Lowest Common Ancestor

Given a tree of  $N \leq 100,000$  nodes, answer  $Q \leq 100,000$  queries of:

What is the lowest common ancestor of  $u$  and  $v$ ?

## LCA DP – Filling the DP Table

There are many solutions, but the following one is particularly useful as it generalizes to many query problems about paths on a tree.

DP State

- $\text{depth}[u]$  = “depth of  $u$ ” = number of nodes from the root
- $\text{par}[u][k]$  = the  $2^k$ -th ancestor of  $u$

How do we fill the  $\text{par}$  array efficiently?

- Fill out the  $\text{par}$  array for all ancestors first (base case = root)
- $\text{par}[u][0]$  = parent of  $u$
- $\text{par}[u][k] = \text{par}[\text{par}[u][k-1]][k-1]$

Time complexity:  $O(N \log N)$

# LCA DP – Computing the LCA

## DP State

- $\text{depth}[u]$  = “depth of  $u$ ” = number of nodes from the root
- $\text{par}[u][k]$  = the  $2^k$ -th ancestor of  $u$

## Algorithm Outline

- Move up the deeper node: say  $u$  is deeper, then iteratively replace  $u = \text{par}[u][k]$  where  $k$  is the largest integer such that  $\text{depth}[u] - 2^k \geq \text{depth}[v]$
- Move both nodes up to their LCA: iteratively replace  $u = \text{par}[u][k]$ ,  $v = \text{par}[v][k]$  where  $k$  is the largest integer such that  $\text{par}[u][k] \neq \text{par}[v][k]$

Time complexity:  $O(\log N)$



```
1 LCA(u, v):
2   if (depth[u] < depth[v]):
3       swap(u, v)
4   for k = log N to 0:
5       if depth[u] - 2^k >= depth[v]:
6           u = par[u][k]
7   for k = log N to 0:
8       if par[u][k] != par[v][k]:
9           u = par[u][k], v = par[v][k]
10  if u != v:
11      u = par[u][0], v = par[v][0]
12  return u
```

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## Problem 3

You are given a weighted tree of  $N \leq 100,000$  nodes.

Answer  $Q \leq 100,000$  queries of the following form:

What is the length of the shortest path between  $u$  and  $v$ ?

## Problem 3 – Solution

DP State

- $\text{par}[u][k]$  = the  $2^k$ -th ancestor of  $u$
- $\text{dist}[u][k]$  = length of path from  $u$  to the  $2^k$ -th ancestor

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### Recurrence

- $\text{par}[u][k] = \text{par}[\text{par}[u][k-1]][k-1]$
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### Answer

- Find the LCA of  $u$  and  $v$
- Use a similar algorithm to find distance from  $u$  and  $v$  to the LCA
- Output  $\text{dist}(u \rightarrow \text{LCA}(u, v)) + \text{dist}(\text{LCA}(u, v) \rightarrow v)$

Time complexity:  $O(N \log N + Q \log N)$

Can we DP on a general graph?

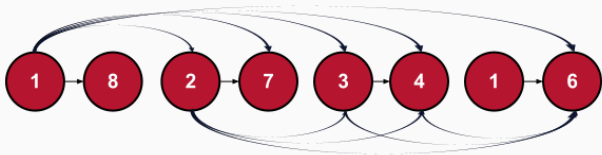
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Construct graph where each element of the array is a node, and add an edge  $i \rightarrow j$  if  $i < j$  and  $A[i] < A[j]$ , then we have a DAG!

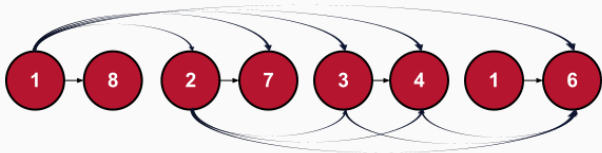




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Finding the LIS is equivalent to finding the longest path in this DAG!

DP for longest path on a DAG:  $f(u) = \max_{v \rightarrow u} (f(v) + d(v, u))$

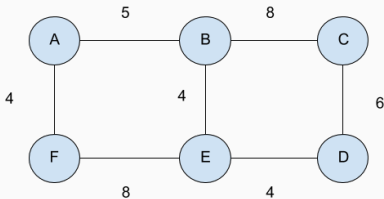
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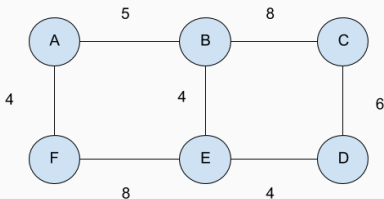
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Solution: similar to Problem 3, with  $f[u][k]$  = the weight of the minimum edge between  $u$  and the  $2^k$ -th ancestor of  $u$ , on the MST

Other useful trees/DAGs to extract from graph: SCCs, BCCs, etc.

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  - Variables = each possible value you are finding (e.g.  $f(i, j)$ )
  - Equations = recurrence relation applied to each variable



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  - Variables = each possible value you are finding (e.g.  $f(i, j)$ )
  - Equations = recurrence relation applied to each variable
- What if recurrence is not linear? An iterative solution may work!
  - For example, initialize  $f(0) = 1$  and  $f(n) = 0$  for  $n > 1$ , then blindly recompute 1000 times using the recurrence.

<http://codeforces.com/blog/entry/20935>

# String Algorithms

(In other words, more DP!)