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            CS420+500: Advanced Algorithm Design and Analysis
            Lectures: March 29 + 31, 2017
    Prof. Will Evans
    Scribe: Matthew Hounslow
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In this lecture we:

- Discussed Randomized Online Algorithms;
- Random Marking Mouse (RMM);
- Hash Functions

Note: Friday next week there will be a final review session from 1.30-3.00.

## 1 Randomized Online Algorithms

We talked a little about this in the previous lecture, but continued on with our Random Marking Mouse. This is described below.

### 1.1 Random Marking Mouse (RMM)

Locations the mouse can hide are equivalent to different pages ( $1,2, \ldots, m$ different pages), locations where mouse isn't are pages in the cache. A cat probe sequence is the equivalent of a page request sequence. Deterministic mouse can achieve no better than ( $m-1$ )-competitive.

The Random Marking Mouse (RMM) performs the following algorithm:

1. Start at a random location
2. When cat probes spot/location the mouse then marks it
3. When cat probes the mouse's location, mouse moves to a random unmarked spot.
4. If mouse as at the last unmarked spot it clears the marks [a new phase begins]

Claim 1. $E\left[R M M_{\text {cost }}\left(p_{1} p_{2} \ldots p_{n}\right)\right] \leq O(\log m) \cdot O P T\left(p_{1} p_{2} \ldots p_{n}\right)$
Proof. Initially the probability that mouse is at any location is $\frac{1}{m}$. The first cat probe therefore finds the mouse with probability $\frac{1}{m}$. Whether the first probe finds the mouse or not, the mouse is now at any of the $m-1$ unprobed locations with equal probability. This means that our second cat probe has a $\frac{1}{m-1}$ probability of finding the mouse. This continues to the third probe $\left(\frac{1}{m-2}\right)$ and so forth.

We can define the expected number of times RMM is found during a phase using indicator variables. An indicator variable is defined as follows:

$$
X_{i}=\left\{\begin{array}{l}
0 \text { if mouse is not found on probe } i \\
1 \text { if mouse is found on probe } i
\end{array}\right.
$$

$E[$ number of times RMM is found during phase $]=E\left[\sum_{i=1}^{m} X_{i}\right]$ This ends up giving us a harmonic series:

$$
E\left[\sum_{i=1}^{m} X_{i}\right]=\frac{1}{m}+\frac{1}{m-1}+\frac{1}{m-2}+\ldots+\frac{1}{1}=H_{m} \approx \ln m
$$

Since every spot is probed by the end of this sequence of probes (called a phase), the optimal mouse OPT must move at least once. Hence the number of times OPT is found during phase $\geq 1$.

Claim 2. For all mice $A$ (deterministic or randomized) there exists a sequence $p_{1} p_{2} \ldots p_{n}$ such that

$$
E\left[A_{\text {cost }}\left(p_{1} p_{2} \ldots p_{n}\right)\right]>(\log m) \cdot O P T\left(p_{1} p_{2} \ldots p_{n}\right)
$$

Proof idea: Show that a cat exists that will cause $A$ to move $>\log m$ times more than $O P T$.
Proof. If cat probes at random then no matter what $A$ does, cat finds it with probability $\frac{1}{m}$. The expected number of times $A$ must move over sequence of $t$ probes is $\frac{t}{m}$.
So how many random cat probes until cat examines all $m$ locations? This is related to the coupon collector problem $\Rightarrow m \ln m$.
So OPT moves once every $m \ln m$ probes, while $A$ moves $\frac{m \ln m}{m}$ times. Therefore A faults $\geq$ $(\ln m) \cdot O P T$

## 2 Hash Functions

### 2.1 Universal Hash Functions

Universal Hash Functions : A set of hash functions $H$, such that each maps keys to indices. It is universal if for all distinct keys $k, l \in U$ (where $U$ is the set of keys), the number of hash functions $h \in H$ such that $h(k)=h(l)$ is at most $\frac{|H|}{m}$ where $m$, is the size of the hash table.

Fixed hash functions are usually a very bad idea, why? Because smart people will find a sequence of keys that will cause your hash function to break!

It should be noted however that this is possibly not true for hash functions that use a cryptographically secure hash function, meaning a function $h$ for which it is believed to be computationally expensive, given $h(k)$ to find $k$ (or any value $l$ such that $h(l)=h(k)$ ). Unfortunately, these functions can be slow to compute, meaning calculating $h(k)$ given $k$ is slower than for typical hash functions. A better idea is to choose a hash function at random from a set of good hash functions (such as a universal set of hash functions).

### 2.2 Chaining using universal hash functions

Hash $n$ keys into a table $T$ of size $m$, where we consider a load factor $\alpha=\frac{n}{m}$. The load factor is the average number of items that hash to the same location.

Using a randomly chosen $h \epsilon_{R} H$. In this case $\epsilon_{R}$ means chosen at random uniformly. Let $n_{i}$ be the number of items in bucket $i$.

Theorem 3. For any key $k, E\left[n_{h(k)}\right] \leq\left\{\begin{array}{l}\alpha+1 \text { if key } k \in T \\ \alpha \text { if } k \notin T\end{array}\right.$

