## Bitonic Sort

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- Merging
- Shuffle and Unshuffle
- The Bitonic Sort Algorithm
- Summary
- I know that some of the links in the electronic version are broken. I know that it would be nice if I complete the final slides. I will post to piazza when this is done.


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## Parallelizing Mergesort



- We looked at this in the Feb. 8 lecture.
- The challenge is the merge step:
- Can we make a parallel merge?


## Merging and the 0-1 Principle

| Easy cases |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| A | B | A | B | A | $B$ |
| 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 0 | 0 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 0 | 0 |

The main idea:

- Use divide-and-conquer.
- Given two arrays, $A$ and $B$, divide them into smaller arrays that we can merge, and then easily combine the results.
- What criterion should we use for dividing the arrays?
- Observation:
- It's easy to merge two arrays of the same size, if they both have the same number of 1 s .
- If they have nearly the same number of 1s, that's easy as well.


## Dividing the problem (part 1)

- For simplicity, assume each array has an even number of elements.
- As we go on, we'll assume that each array has an power-of-two number of elements.
- That's the easiest way to explain bitonic sort.
- Note: the algorithm works for arbitrary array sizes.
* See the lecture slides from 2013.
- Divide each array in the middle?
- If $A$ has $N$ elements and $N_{1}$ are ones,
- How many ones are in $A[0, \ldots,(N / 2)-1]$ ?
- How many ones are in $A[N / 2, \ldots, N-1]$ ?
- Taking every other element?
- How many ones are in the $A[0,2, \ldots, N-2]$ ?
- How many ones are in the $A[1,3, \ldots, N-1]$ ?
- Other schemes?


## Dividing the problem (part 2)

- Let $A$ and $B$ be arrays that are sorted into ascending order.
- Let $A_{0}$ be the odd-indexed element of $A$ and $A_{1}$ be the odd-indexed.
- Likewise for $B_{0}$ and $B_{1}$.
- Key observations:
$\begin{aligned} & \text { HowManyOnes }\left(A_{0}\right) \leq \text { HowManyOnes }\left(A_{1}\right) \leq \operatorname{HowManyOnes}\left(A_{0}\right)+1 \\ & \text { HowManyOnes }\left(B_{0}\right) \leq H o w M a n y O n e s ~ \\ & \left(B_{1}\right)\end{aligned} \leq$ HowManyOnes $\left(B_{0}\right)+1$
- With a bit of algebra, we get
$\mid$ HowManyOnes $\left(A_{0}++B_{1}\right)-$ HowManyOnes $\left(A_{1}++B_{0}\right) \mid \leq 1$
- In English that says that
- If we merge $A_{0}$ with $B_{1}$ to get $C_{0}$,
- and we merge $A_{1}$ with $B_{0}$ to get $C_{1}$,
- then $C_{0}$ and $C_{1}$ differ by at most one in the number of ones that they have.
* This is an "easy" case from slide 3.


## Merging

- Given $N$ that is a power of 2 , and arrays $A$ and $B$ that each have $N$ elements and are sorted into ascending order, we can merge them with a sorting network.
- If $N=1$, then just do CompareAndSwap $(A, B)$.
- Otherwise, let $A_{0}$ be the odd-indexed element of $A$ and $A_{1}$ be the odd-indexed, and likewise for $B_{0}$ and $B_{1}$.
- Merge $A_{0}$ and $B_{1}$ into a single ascending sequence, $C_{0}$.
- Merge $A_{1}$ and $B_{0}$ into a single ascending sequence, $C_{1}$.
- Note that the number of ones in $C_{0}$ and $C_{1}$ differ by at most one.
- Merge $C_{0}$ and $C_{1}$ into a single ascending sequence.
- This is an "easy" case from slide 3.
- We can perform this merge using $\mathrm{N} / 2$ /compare-and-swap modules.
- Complexity:
- Depth: $O(\log N)$ - logarithmic parallel time.
- Number of compare-and-swap modules $O(N \log N)$.
- Pause: If you understand this, you've got all of the key ideas of bitonic sorting.
- The bitonic approach just improves on this simple algorithm.


## Bitonic Sequences

- A sequence is bitonic if it consists of a monotonically increasing sequence followed by a monotonically decreasing sequence.
- Either of those sub-sequences can be empty.
- We'll also consider a monotonically decreasing followed by monotonically increasing sequence to be bitonic.
- Properties of bitonic sequence
- Any subsequence of a bitonic sequence is bitonic.
- Let $A$ be a bitonic sequence consisting of $\mathbf{0 s}$ and $\mathbf{1 s}$. Let $A_{0}$ and $A_{1}$ be the even- and odd-indexed subsequences of $A$.
- The number of $\mathbf{1 s}$ in $A_{0}$ and $A_{1}$ differ by at most 1 .
$\star$ We'll examine the number of 0 s on slide 10.


## Bitonic Merge - big picture

- Bitonic merge produces a monotonic sequence from an bitonic input.
- Given two sorted sequences, $A$ and $B$, note that

$$
X=A++\operatorname{reverse}(B)
$$

is bitonic.

- We don't require the lengths of $A$ or $B$ to be powers of two.
- If fact, we don't even require that $A$ and $B$ have the same length.
- Divide $X$ into $X_{0}$ and $X_{1}$, the even-indexed and odd-indexed subsequences.
- $X_{0}$ and $X_{1}$ are both bitonic.
- The number of 1 s in $X_{0}$ and $X_{1}$ differ by at most 1 .
- Use bitonic merge (recursion) to sort $X_{0}$ and $X_{1}$ into ascending order to get $Y_{0}$ and $Y_{1}$.
- HowManyOnes $\left(Y_{0}\right)=$ HowManyOnes $\left(X_{0}\right)$, and HowManyOnes $\left(Y_{1}\right)=\operatorname{HowManyOnes}\left(X_{1}\right)$.
- Therefore, the number of $\mathbf{1 s}$ in $Y_{0}$ and $Y_{1}$ differ by at most 1.
- This is an "easy" case from slide 3.


## Counting the 0 s and 1 s (even total length)

| $X_{0}$ | $X_{1}$ | $\chi_{0}$ | $X_{1}$ | $\chi_{0}$ | $X_{1}$ | $\chi_{0}$ | $X_{1}$ | $\chi_{0}$ | $X_{1}$ | $\chi_{0}$ | $X_{1}$ | $\chi_{0}$ | $X_{1}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 1 | 1 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |

- First, we'll look at the case when length $(A++B)$ is even.
- Given two sorted sequences, $A$ and $B$, let

$$
\begin{aligned}
& X_{0}=\operatorname{EvenIndexed}(A++\operatorname{reverse}(B)) \\
& X_{1}=\operatorname{OddIndexed}(A++\operatorname{reverse}(B))
\end{aligned}
$$

- This means that $X[i]=X_{i \bmod 2}[i \operatorname{div} 2]$.
- In English, the elements of $X$ go left-to-right and then bottom-to-top in $X_{0}$ and $X_{1}$.
- The number of $1 \mathbf{s}$ in $X_{0}$ and the number of ones in $X_{1}$ differ by at most 1 .
- Likewise for the number of $0 \mathbf{s}$.


## Counting the 0 s and 1 s (odd total length)

| $X_{0}$ |  | $X_{0}$ |  | $X_{0}$ |  | $X_{0}$ | $X_{1}$ | $X_{0}$ |  | $X_{0}$ | $X_{1}$ | $X_{0}$ | $X_{1}$ | $X_{0}$ | $X_{1}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 1 | 1 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 |
| 0 |  | 0 |  | 0 |  | 0 |  | 0 |  | 0 |  | 0 |  | 1 |  |

- Let $N=\operatorname{length}(A++B)$, where $N$ is odd.
- The number of $\mathbf{1 s}$ in $X_{0}$ and the number of ones in $X_{1}$ differ by at most 1.
- The number of 0 s in $X_{0}[1, \ldots,\lfloor N / 2\rfloor]$ and the number of zeros in $X_{1}$ differ by at most 1 .
- Either $X_{0}[0]$ or $X_{0}[\lfloor N / 2\rfloor]$ is the least element of $A++B$.


## After applying bitonic merge to $X_{0}$ and $Y_{0}$



- Let $N=$ length $(A++B)$.
- If $N$ is even,
- Any out of order elements are in the same row, i.e. $X_{0}[i]>X_{1}[i]$ for some $0 \leq i<N / 2$.
- If $N$ is odd
- Any out of order elements are of the form $X_{0}[i+1]>X_{1}[i]$ for some $0 \leq i<N / 2$.
- $X_{0}[0]$ is the least element of $X_{0}$ and $X_{1}$.


## The complexity of bitonic merge

- We'll count the compare-and-swap operations
- Is it OK to ignore reversing one array, concatenating the arrays, separating the even- and odd-indexed elements, and recombining them later?
- Yes. The number of these operations is proportional to the number of compare-and-swaps
- Yes. Even better, in the next lecture, we'll show how to eliminate most of these data-shuffling operations.
- A bitonic merge of $N$ elements requires:
- two bitonic merges of $N / 2$ items (if $N>2$ )
- $\lfloor N / 2\rfloor$ compare-and-swap operations.
- The total number of compare and swap operations is $O(N \log N)$.


## Bitonic-Sort, and it's complexity

## Shuffle and unshuffle

- Shuffle is like what you can do with a deck of cards:
- Divide the deck in half
- Select cards alternately from the two halves.
- Shuffle is a circular-right-shift of the index bits.
$\star$ Assuming the number of cards in the deck is a power of two.
- Unshuffle is the inverse of shuffle.
- Unshuffling a deck of cards is dealing to two players.
- Unshuffle is a circular-left-shift of the index bits.

