

# Scan

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# Objectives

- Prefix sum
  - ▶ Spawning processes.
  - ▶ Sending and receiving messages.
- The source code for the examples in this lecture is available here: [procs.erl](http://procs.erl).

# Prefix Sum

- Scan is similar to reduce, but every process calculates its cumulative total.
- Example:

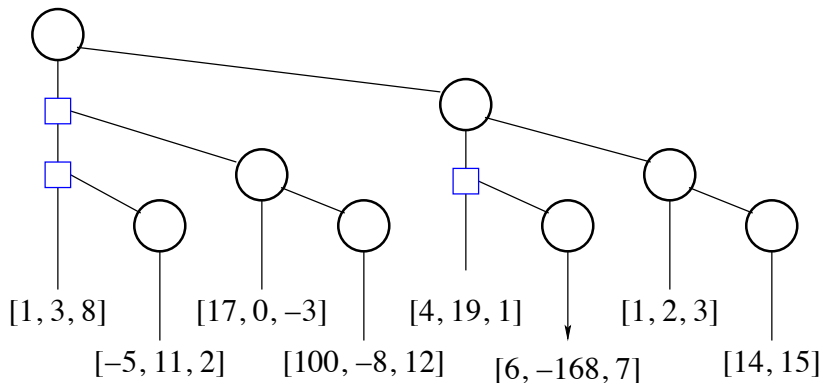
```
% prefix_sum: compute prefix sum.  
prefix_sum(L) when is_list(L) -> prefix_sum_tr(L, 0).  
prefix_sum_tr([], Acc) -> [];  
prefix_sum_tr([H | T], Acc) ->  
    MySum = H+Acc,  
    [MySum | prefix_sum_tr(T, MySum)].
```

- Let's try it:

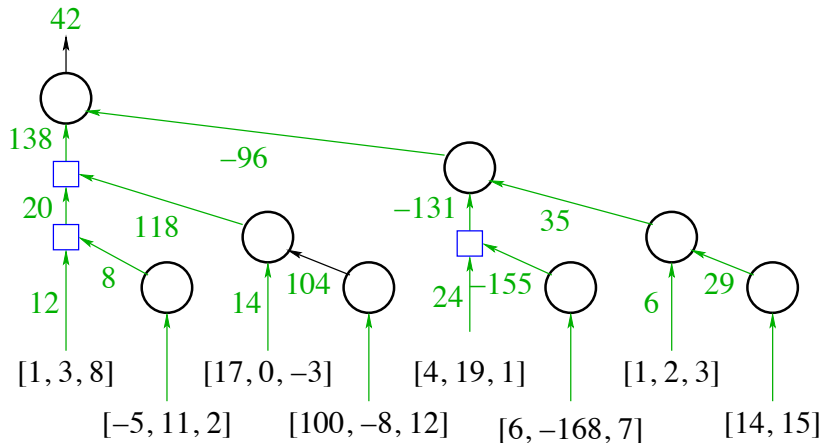
```
1> examples:prefix_sum([1, 13, 2, -5, 17, 0, 33]).  
[1, 14, 16, 11, 28, 28, 61]
```

- How can we do this in parallel?

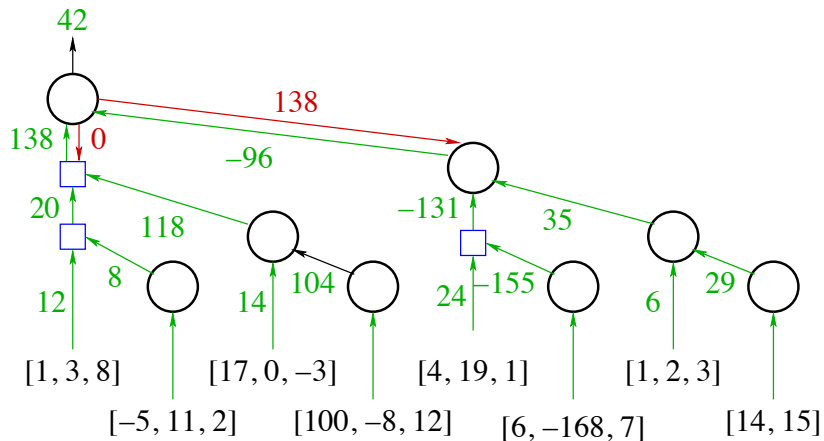
# Parallel Prefix Sum



# Parallel Prefix Sum



# Parallel Prefix Sum





# The Scan Pattern

- It's a parallel version of *mapfold*, e.g. `lists:mapfoldl` and `lists:mapfoldr`.
- `wtree:scan(Leaf1, Leaf2, Combine, Acc0)`
  - ▶ *Leaf1(ProcState) -> Value*  
Each worker process computes its *Value* based on its *ProcState*.
  - ▶ *Combine(Left, Right) -> Value*  
Combine values from sub-trees.
  - ▶ *Leaf2(ProcState, AccIn) -> ProcState*  
Each worker updates its state using the *AccIn* value – i.e. the accumulated value of everything to the worker's “left”.
  - ▶ *Acc0*: The value to use for *AccIn* for the leftmost nodes in the tree.



# Scan example: prefix sum

```
prefix_sum_par(W, Key1, Key2) ->
  wtree:scan(W,
    fun(ProcState) -> % Leaf1
      lists:sum(wtree:get(ProcState, Key1)) end,
    fun(ProcState, AccIn) -> % Leaf2
      wtree:put(ProcState, Key2,
        prefix_sum(wtree:get(ProcState, Key1), AccIn)
      ) end,
    fun(Left, Right) -> % Combine
      Left + Right end,
    0 % Acc0
  ).

prefix_sum(L, Acc0) ->
  element(1,
    lists:mapfoldl(fun(X, Y) -> Sum = X+Y, {Sum, Sum} end,
      Acc0, L)).
```

## Prefix Sum Using Scan, example (part 1 of 4)

- Consider the example from [slide 4](#).
  - ▶ We'll assume that the original lists for each processes are associated with the key `raw_data`.
  - ▶ We'll store the cumulative sum using the key `cooked_data`.
- `Leaf1`: each worker computes the sum of the elements in its list:

- ▶ Worker 0:

```
Leaf1(ProcState) ->  
  lists:sum(wtree:get(ProcState, raw_data)) ->  
  lists:sum([1,3,8]) ->  
  12.
```

- ▶ Worker 1:

```
Leaf1(ProcState) -> lists:sum([-5,11,2]) -> 8.
```

- ▶ Worker 2:

```
Leaf1(ProcState) -> lists:sum([17,0,-3]) -> 14.
```

- ▶ Workers 3–6: ...

- ▶ Worker 7:

```
Leaf1(ProcState) -> lists:sum([14,15]) -> 29.
```

## Prefix Sum Using Scan, example (part 2 of 4)

- `Combine` (upward, first round):
  - ▶ Worker 0: `Combine(12, 8) -> 20.`
  - ▶ Worker 2: `Combine(14, 104) -> 118.`
  - ▶ Worker 4: `Combine(24, -155) -> -131.`
  - ▶ Worker 6: `Combine(6, 29) -> 35.`
- `Combine` (upward, second round):
  - ▶ Worker 0: `Combine(20, 118) -> 138.`
  - ▶ Worker 4: `Combine(-131, 35) -> -96.`
- `Combine` (upward, final round):
  - ▶ Worker 0: `Combine(138, -96) -> 42.`
  - ▶ This value is returned to the caller of `wtree:scan.`

## Prefix Sum Using Scan, example (part 3 of 4)

- `Combine` (downward)
- The root sends `AccIn, 0` to the left subtree.
- Each worker that did a combine remembers the arguments from the upward combines, and uses them in the downward sweep. In the code, each upward step is a recursive function call, and each downward step is a return.
- `Combine` (downward, first round)
  - ▶ Worker 0: `Combine(0, 138) -> 138`.
  - ▶ The `0` is `AccIn` from the root.
  - ▶ The `138` is the stored value from the left subtree.
  - ▶ Worker 0 sends this result to its right subtree, worker 4.
- `Combine` (downward, second round)
  - ▶ Worker 0: `Combine(0, 20) -> 20`. Send to worker 2.
  - ▶ Worker 4: `Combine(138, -131) -> 7`. Send to worker 6.
- `Combine` (downward, third round)
  - ▶ Worker 0: `Combine(0, 12) -> 12`. Send to worker 1.
  - ▶ Worker 2: `Combine(20, 14) -> 34`. Send to worker 3.
  - ▶ Worker 4: `Combine(138, 24) -> 162`. Send to worker 5.
  - ▶ Worker 6: `Combine(7, 6) -> 13`. Send to worker 7.

# Prefix Sum Using Scan, example (part 4 of 4)

- Leaf2 (update worker state)

- ▶ Worker 0:

```
Leaf2(ProcState, 0) ->  
  wtree:put(ProcState, Key2,  
    prefix_sum(wtree:get(ProcState, Key1), 0)) ->  
  wtree:put(ProcState, Key2,  
    prefix_sum([1, 3, 8], 0)) ->  
  wtree:put(ProcState, Key2, [1, 4, 12]).
```

- ▶ Worker 1:

```
Leaf2(ProcState, 0) ->  
  wtree:put(ProcState, Key2,  
    prefix_sum(wtree:get(ProcState, Key1), 0)) ->  
  wtree:put(ProcState, Key2,  
    prefix_sum([-5, 11, 2], 12)) ->  
  wtree:put(ProcState, Key2, [7, 18, 20]).
```

- ▶ Workers 2–7: ...

# Let's Try It

```
2> W = wtree:create(8).
[<0.65.0>,<0.66.0>,<0.67.0>,<0.68.0>
 <0.69.0>,<0.70.0>,<0.71.0>,<0.72.0>]
3> workers:update(W, raw_data,
  [ [1,3,8], [-5,11,2], [17,0,-3], [100,-8,12],
    [4,19,1], [6,-168,7], [1,2,3], [14,15]]).
ok
4> examples:prefix_sum_par(W, raw_data, cooked_data). 42
5> workers:retrieve(W, cooked_data).
[ [1,4,12], [7,18,20], "%%\\"", [134,126,138],
  [142,161,162], [168,0,7], "\b\n\r", "\e*"] 6> $37
```

- Likewise,  $\$ " == 34$ ,  $\$ _ == 8$ ,  $\$ \backslash n == 10$ ,  $\$ \backslash r == 13$ ,  $\$ \backslash e == 27$ , and  $\$ * == 42$ .
- All is well.

## More Examples of scan

- Account balance with interest:

- ▶ Input: a list of transactions, where each transaction can be a deposit (add an amount to the balance), a withdrawal (subtract an amount from the balance), or interest (multiply the balance by an amount). For example:

```
[{deposit, 100.00}, {withdraw, 5.43}, {withdraw, 27.75}]
```

- ▶ Output: the account balance after each transaction. For example, if we assume a starting balance of \$1000.00 in the previous example, we get

```
[1100.00, 1094.57, 1066.82, 1067.40, ...]
```

- Delete 3s

- ▶ Given a list that is distributed across  $NProc$  processes, delete all 3s, and rebalance the list so each process has roughly the same length sublist.
- ▶ Solution (sketch):
  - ★ Using scan, each process determines how many 3s precede its segment, the total list length preceding it, and the total list length after deleting 3s.
  - ★ Each process deletes its 3s and send portions of its lists and/or receives list portions to rebalance.

## More<sup>2</sup> Examples of scan

- Carry-Lookahead Addition:
  - ▶ Given two large integers as a list of bits (or machine words), compute their sum.
    - ★ Note that the “pencil-and-paper” approach works from the least significant bit (or digit, or machine word) and works sequentially to the most-significant bit. This takes  $O(N)$  time where  $N$  is the number of bits in the work.
  - ▶ Carries can be computed using scan.
    - ★ This allows a parallel implementation that adds two integers in  $O(\log N)$  time.
    - ★ This is how the hardware in your CPU does addition – the adder takes  $O(\log N)$  gate delays to add two, machine words, where  $N$  is the number of bits in a word.
- See *Principles of Parallel Programming*, pp. 119f.
- See homework 2 (later today, I hope).



# Preview

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## January 23: Architecture Review

Reading: Pacheco, Chapter 2, Sections 2.1 and 2.2.

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## January 25: Shared-Memory Machines

Reading: Pacheco, Chapter 2, Section 2.3

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## January 27: Distributed-Memory Machines

Reading: Pacheco, Chapter 2, Sections 2.4 and 2.5.

Mini Assignments Mini 4 goes out.

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## January 30: Parallel Performance: Speed-up

Reading: Pacheco, Chapter 2, Section 2.6.

Homework: **HW 2 earlybird** (11:59pm). HW 3 goes out.

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## February 1: Parallel Performance: Overheads

Homework: **HW 2 due** (11:59pm).

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## February 3: Parallel Performance: Models

Mini Assignments Mini 3 due (10am)

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## February 6: Parallel Performance: Wrap Up

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## January 8–February 15: Parallel Sorting

Homework (Feb. 15): **HW 3 earlybird** (11:59pm), HW 4 goes out.

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## February 17: Map-Reduce

Homework: **HW 3 due** (11:59pm).

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## February 27: TBD

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## March 1: Midterm

# Review Questions

- What is scan? Give an example.
- Compare scan with `lists:mapfoldl`?
- What property must an operator have to be amenable use with scan?
- What are the components of a generalized scan?  
As an example, what functions do you need to define to use `wtree:scan`?
- Consider the following variations on the bank account problem:
  - ▶ Add a transaction `{reset, Balance}`, where `Balance` is a number. The account balance is set to this amount. For example, this can be used to open an account with an initial balance. We'll also assume that a `reset` can be done at any point in a sequence of transactions.
  - ▶ Change interest computations so that the bank charges a daily interest of  $X\%$  for negative balances, neither charges nor pays interest for positive balances less than \$1000, and pays a daily interest of  $Y\%$  for positive balances greater than \$1000.
  - ▶ For each of these:
    - ★ Can the account balance still be computed using scan?
    - ★ If yes, explain how to do. If no, explain why it's not possible.