## Midterm

Graded out of $\mathbf{1 0 0}$ points (101 points are possible)
Answer question 0 , and any three out of questions 1-4. If you write solutions for all four, please indicate which three you want to have graded. Otherwise, three will be chose arbitrarily.
0. ( 2 points)
(a) Your name: Mark Greenstreet
(b) Your student number: 00000000

1. Reduce ( 33 points) Let's say we have a list of $N$ numbers that is stored on $P$ worker processes. We want to find the largest perfect square in the list. For example,
largest_square([5622, 64, 4214, 4624, 2150, 5583, 1599, 6889, 2095])
is 6889 (i.e. $83^{*} 83$ ). Of course, we want to do this is parallel, and we'll use reduce.
(a) ( $\mathbf{2 5}$ points): Fill-in the blanks to complete the computation of largest_square in Figure 1
(b) ( 8 points): Consider execution where the four worker processes have the specified lists: stored under key rawdata:

- Worker 0: $[0,83,64,5,101]$.
- Worker 1: $[17,23,164,125,111]$.
- Worker 2: $[168,169,81,25,3]$.
- Worker 3: $[0,0,0,0,0]$.

Fill-in the blanks below to describe what happens while computing largest_square ( $W$, rawdata) using the code from Figure 1, and assuming that $W$ is a worker tree consisting of the four processes mentioned above. Hint: here's a list of all the squares less than 200 : $[0,1,4,9,16,25,36,49,64,81,100$, 121, 144, 169, 196].

- Each worker performs its leaf function:

Worker 0: leaf (MyList) returns 64 ;
Worker 1: leaf (MyList) returns none ;
Worker 2: leaf (MyList) returns 169 ;
Worker 3: leaf (MyList) returns 0 ;

- The first round of combines:

Worker 0 : computes combine (64, none) to produce 64 ;
Worker 2: computes combine (169, 0 ) to produce 169 ;

- The final result:

Worker 0 : computes combine ( 64,169 ) to produce 169 ;
This is the final result and is returned by largest_square.

```
largest_square(W, Key) ->
    wtree:reduce(W,
        fun(ProcState) ->
            leaf(wtree:get(ProcState, Key))
        end, fun(Left, Right) ->
            combine(Left, Right)
        end
        ).
    leaf(MyList) ->
        Squares = [
        X || X <- MyList,
                        X == square(round(math:sqrt(X)))
        ],
    case Squares of
        [] -> none;
        _ -> lists:max(Squares)
    end.
    combine(none, Right) -> Right ;
    combine(Left, none) -> Left ;
    combine(Left, Right) -> max(Left, Right) .
    square(X) -> X*X .
```

Figure 1: Code for largest_square - filled in
2. Performance (33 points) Consider an algorithm that takes time $t_{0} N \log _{2} N$ for the best sequential algorithm. Assume that a parallel version can be done in time

$$
\left(t_{0} \frac{N}{P} \log _{2} N\right)+\left(\lambda+t_{0} \frac{N}{P}\right) \log _{2} P
$$

Assume $t_{0}=10 \mathrm{~ns}$ and $\lambda=10 \mu \mathrm{~s}$, where $1 \mathrm{~ns}=10^{-9}$ seconds, and $1 \mu \mathrm{~s}=10^{-6}$ seconds.
(a) (5 points): What is the speed-up if $N=2^{16}=65536$, and $P=256$ ?

Show your work on the blank pages at the end or on the back side of a test page, and write your answer here.
To avoid repeating calculations, I'll note that $t_{p}=\left(t_{s} / P\right)+\left(\lambda+t_{0} N / P\right) \log _{2} P$, where $t_{p}$ is the exeuction time for the parallel program, and $t_{s}$ is the sequential execution time. Note: $1 \mathrm{~ms}=10^{-3}$ seconds.

$$
\begin{aligned}
t_{s} & =10 \mathrm{~ns} * 2^{16} \log _{2} 2^{16} \\
& =10.048 \mathrm{~ms} \\
t_{p} & =\left(10.048 \mathrm{~ms} / 2^{8}\right)+\left(10 \mu \mathrm{~s}+10 \mathrm{~ns} \frac{2^{16}}{2^{8}}\right) \log _{2} 2^{8} \\
& =40.96 \mu \mathrm{~s}+100.48 \mu \mathrm{~s} \\
& =141.44 \mu \mathrm{~s} \\
\text { SpeedUp } & =\frac{t_{s}}{t_{p}}=\frac{10.048 \mathrm{~ms}}{141.44 \mu \mathrm{~s}}=74.1
\end{aligned}
$$

(b) (6 points): If $P=256$, how large must $N$ be to get a speed-up of at least $P / 2$ ?

Clearly bigger than $2^{16}$. Let's try $2^{17}$, and if that isn't enough, $2^{18}$ should do the job. Calculating as for part (a), I get:

| $N$ | $t_{s}$ | $t_{p}$ | SpeedUp |
| :---: | :---: | :---: | :---: |
| $2^{17}$ | 22.3 ms | $208 \mu \mathrm{~s}$ | 107 |
| $2^{18}$ | 47.2 ms | $346 \mu \mathrm{~s}$ | 136 |

$N$ should be $2^{18}=262,144$ or larger.
(c) (5 points): What is the speed-up if $N=2^{16}, P=256$, and $\lambda$ is reduced to $1 \mu$ s (keeping $t_{0}=10 \mathrm{~ns}$ )?

$$
t_{s}=10.5 \mathrm{~ms}, \quad t_{p}=69.4 \mu \mathrm{~s}, \quad \text { Speed } U p=151
$$

(d) (5 points): What is the speed-up if $N=2^{20}, P=256$, and $t_{0}$ is reduced to 1 ns (keeping $\lambda=10 \mu \mathrm{~s}$ )?

$$
t_{s}=21.0 \mathrm{~ms}, \quad t_{p}=195 \mu \mathrm{~s} \quad \text { Speed } U p=108
$$

(e) (6 points): Does speed-up increase or decrease with a decrease of $\lambda$ ? Why?

Speed-up increases when $\lambda$ decreases because the $\lambda$ term is overhead that only adds to the parallel execution time and does not affect the sequential time.
(f) ( 6 points): Does speed-up increase or decrease with a decrease of $t_{0}$ ? Why?

Speed-up decreases when $t_{0}$ decreases because the sequential time is proportional to $t_{0}$ but the parallel time also includes some overheads that are independent of $t_{0}$.
Note: While solving this one, I discovered that I set $N=2^{20}$ here. Because the speed up is lower than that for $N=2^{18}$ and $t_{0}=10 \mathrm{~ns}$, you can still make the conclusion that decreasing $t_{0}$ decreases speed-up. The take-home message is that speeding up the sequential computation tends to improve performance (both $t_{s}$ and $t_{p}$ decrease) but lower speed-up.
3. Erlang (33 points)
(a) (24 points): Let double (List) return the list obtained by doubling each element of List Consider the three implementations below (I won't worry about guards until part b):

```
double_1([]) -> [];
double_1([Hd | Tl]) -> [2*Hd | double_1(Tl)].
double_2(List) -> double_2(List, []);
double_2([Hd | Tl], Acc) -> double_2(Tl, Acc++[2*Hd]);
double_2([], Acc) -> Acc.
double_3([]) -> [];
double_3([A]) -> [2*A];
double_3(L) ->
    {L1, L2} = lists:split(lists:length(L) div 2, L);
    double_3(L1) ++ double_3(L2).
```

Which of these runs in $O(N)$ time? Which in $O(N \log N)$ time? and which in $O\left(N^{2}\right)$ time? Here, $N$ denotes the length of the list given as an argument to double. Note: lists:split ( $\mathrm{N}, \mathrm{List}$ ) -> \{FirstN, Rest\}, where FirstN is the first $N$ elements of List, and List is the rest. You can assume that lists:split ( N , List) runs in time $O(N)$. With each answer, give a one or two sentence justification(maybe three for the $O(N \log N)$ case). Write your answers below:
$O(N)$ : double_1
Why?
double_1 performs $\mathrm{O}(1)$ operations (a multiplication and prepending an element to a list) for each element of its argument list.
$O(N \log N)$ : double_3
Why?
double_3 is a divide-and-conquer approach. It spends $O(N)$ time to split the list and concatenate the results of the recursive calls. Each call reduces the length of the list by half; so, the calls go to a depth of $\left\lceil\log _{2} N\right\rceil$. At each level, $O(N)$ work is done in total. This gives the $O(N \log N)$ runtime.
double_3 is a pretty convoluted way of doubling the elements in a list - imho, there's no reason to ever do this. OTOH, its runtime is much better than double_2.
$O\left(N^{2}\right)$ : double_2
Why?
double_2 does $O(|\operatorname{Acc}|)$ work for the ++ operation. double_2 is called with $\mid$ Acc $\mid$ taking on values of $0,1,2, \ldots, N-1$. The total time is $O\left(N^{2}\right)$.
(b) (3 points): Which of the three versions of double from part (a) is tail recursive?
double_2
(c) (6 points): Here's an Erlang quirk I encountered recently:

```
1> X = [a | b].
[a|b]
2> is_list(X).
true
3> tl(X).
b
4> is_list(tl(X)).
false
```

That's right - you can have a list whose tail is defined, but whose tail is not a list! We'll say that $L$ is a "true list" iff X is a list, and if you take $\mathrm{tl}(\mathrm{X})$ enough times, you eventually get []. Write an Erlang function, is_true_list ( $X$ ) that returns true iff $X$ is a true list. For example,

```
is_true_list([]) -> true.
is_true_list([a, b]) -> true.
is_true_list(lists:seq(1, 1000000000)) -> true.
is_true_list([a | b]) -> false.
```

Write your solution below:

```
is_true_list([]) -> true; % just like in the examples above
is_true_list([Hd | Tl]) -> is_true_list(Tl); % so far, so good
is_true_list(_) -> false. % whatever _ is, it's not a list
```

(a) ( 6 points) The best sequential implementation of a program takes 4 hours to run. A parallel version runs in 20 minutes using 16 processors. What is the speed-up?

$$
\text { SpeedUp }=\frac{t_{s}}{t_{p}}=\frac{4 \text { hours }}{20 \text { minutes }}=\frac{240 \text { minutes }}{20 \text { minutes }}=12
$$

(b) (Example: $\mathbf{0}$ points) Consider the code below for the partition step of quicksort:

```
partition(A, lo, hi) {
    pivot = A[hi-1];
    i = lo;
    for(int j = lo; j < hi-1; j++) {
        if(A[j] <= pivot) {
            tmp = A[i]; A[i] = A[j]; A[j] = tmp;
            i++;
        }
    }
    A[hi-1] = A[i];
    A[i] = pivot;
}
```

Describe a write-after-read dependency in the partition function.
Answer: the write to A [i] in the statement 'A[i] = A[j]' must be performed after the read of A[i] in the preceding statement, 'tmp $=A[i]$ '. Otherwise, the read will get the wrong value.
(c) (6 points) Describe a read-after-write dependency in the partition function.

The read of jin 'if(A[j] <- pivot)' must be performed after write of $j$ in the $j++$ operation in the for-statement.
(d) (6 points) Describe a control-dependency in the partition function.

The branch for the if-statement must be performed before the operations that swap A[i] and A[j].
(e) ( 6 points) What is "super-linear speed-up"? Describe one typical cause.

Super-linear speed-up refers to a situation where a parallel program with $P$ processes runs in less time than the time of the sequential version divided by $P$. This can occur because the parallel machine has more fast memory (e.g. registers, cache, DRAM) in total than a single processor, and can have a higher fraction of its data references going to faster memory. Another cause is multi-threading where several threads can make better use of the resource of a super-scalar processor than a single thread can.
(f) (6 points) How does a super-scalar machine determine if the register-operands for an instruction are available so the instruction can execute?
The result register for an instruction is tagged as "busy" when it is allocated during the renaming process. It will be tagged as "ready" when the instruction has updated the register with its result. If subsequent instruction needs the value from that register, that subsequent instruction will be blocked from execution until all registers that it needs are ready (or "committed").
(g) (3 points) Who invented "Amdahl's Law"?

Gene Amdahl, in 1967.
Note: "Amdahl" is a sufficient answer.

