




Chapter 3

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Transformations



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### Geometric Transformations



- Transformation  $\tau$  is *one-to-one* and *onto* mapping of  $nD$  to itself
- Affine transformation –  $\tau(V) = AV+b$ 
  - A – matrix
  - b – scalar
- In CG *Geometric Transformations* are affine transformations with geometric meaning
- Note: transformation has meaning only for vectors  $\Rightarrow$  for point P  $\tau(P)$  is transformation of vector from  $(0,0)$  to P



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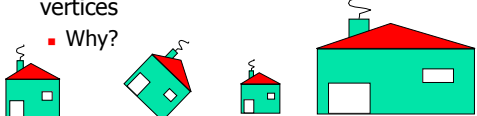
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### Transformations

- Transforming an object = transforming all its points
- Transforming a polygon = transforming its vertices
  - Why?



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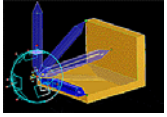
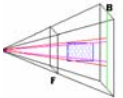
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## Applications

- Viewing
- Modeling
- Articulation



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## Scaling

- $V = (v_x, v_y)$  – vector in XY plane
- *Scaling* operator  $S$  with parameters  $(s_x, s_y)$ :  
$$S^{(s_x, s_y)}(V) = (v_x s_x, v_y s_y)$$



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## Scaling

- Matrix form:  
$$S^{(s_x, s_y)}(V) = (v_x, v_y) \begin{pmatrix} s_x & 0 \\ 0 & s_y \end{pmatrix} = (v_x s_x, v_y s_y)$$
- Independent in  $x$  and  $y$



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## Rotation

- Polar form:  
 $V = (v_x, v_y) = (r \cos \alpha, r \sin \alpha)$
- Rotating V counterclockwise by  $\theta$  to W:

$$\begin{aligned}
 W &= (w_x, w_y) \\
 &= (r \cos(\alpha + \theta), r \sin(\alpha + \theta)) \\
 &= (r \cos \alpha \cos \theta - r \sin \alpha \sin \theta, \\
 &\quad r \cos \alpha \sin \theta + r \sin \alpha \cos \theta)
 \end{aligned}$$

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## Rotation

- Matrix form:

$$\begin{aligned}
 W &= (r \cos \alpha, r \sin \alpha) \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \\
 &= V \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}
 \end{aligned}$$

- *Rotation operator*  $R$  with parameter  $\theta$  at the origin:

$$R^\theta = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}$$

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## Rotation Properties

- $R^0$  is orthogonal

$$(R^\theta)^{-1} = (R^\theta)^T$$

- $R^{-\theta}$  - rotation by  $-\theta$  is

$$R^{-\theta}(V) = (v_x, v_y) \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} = (R^\theta)^{-1}$$

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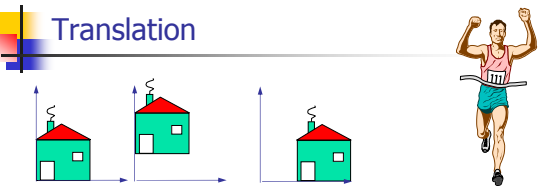
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## Translation



- Translation operator  $T$  with parameters  $(t_x, t_y)$ :

$$T^{(t_x, t_y)}(V) = (v_x + t_x, v_y + t_y)$$



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## Translation - Homogeneous Coordinates


- To represent  $T$  in matrix form – introduce homogeneous coordinates:

$$V^h = (v_x^h, v_y^h, v_w^h) = (v_x, v_y, 1)$$

- Conversion (projection) from homogeneous space to Euclidean:

$$V = (v_x, v_y) = \left( \frac{v_x^h}{v_w^h}, \frac{v_y^h}{v_w^h} \right)$$

- In homogeneous coordinates:

$$(2, 2, 1) = (4, 4, 2) = (1, 1, 0.5)$$



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## Translation

- Using homogeneous coordinates, translation operator may be expressed as:

$$T^{(t_x, t_y)}(V^h) = (v_x, v_y, 1) \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ t_x & t_y & 1 \end{bmatrix} = (v_x + t_x, v_y + t_y, 1)$$



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## Transformation Composition

- What operation rotates  $XY$  by  $\theta$  around  $P = (p_x, p_y)$ ?
- Answer:
  - Translate  $P$  to origin
  - Rotate around origin by  $\theta$
  - Translate back

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## Transformation Composition

$$T^{-1}(p_x, p_y) R^\theta T(p_x, p_y) =$$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ -p_x & -p_y & 1 \end{bmatrix} \begin{bmatrix} \cos\theta & \sin\theta & 0 \\ -\sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ p_x & p_y & 1 \end{bmatrix}$$

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## Transformations Quiz

- What do these transformations do?
 
$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix}$$
- And these homogeneous ones?
 
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0.5 \end{bmatrix}$$
- How to mirror through arbitrary line in  $XY$ ?
- What transformation achieves this?

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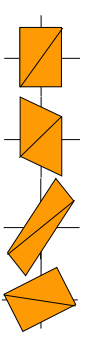

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### Rotate by Shear

- Shear
 
$$\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ a & 1 \end{bmatrix}$$
- Rotation by  $0 \leq \theta \leq \frac{\pi}{2} \equiv$  composition of 3 shears
 
$$\begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} = \begin{bmatrix} 1 & x & 1 & 0 \\ 0 & 1 & y & 1 \end{bmatrix} \begin{bmatrix} 1 & z \\ 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 1+xy & x & 1 & z \\ y & 1 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 1+xy & z+xyz+x \\ y & yz+1 \end{bmatrix}$$


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### Rotate by Shear (cont.)

- Solve for  $x, y, z$  :
 
$$\cos \theta = 1 + xy = yz + 1, \sin \theta = -y = z + xyz + x$$
- Result
 
$$y = -\sin \theta, x = z = -\tan \frac{\theta}{2}$$




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### Rotate by Shear (cont.)

- What does composition of following two scaled shears do?
 
$$\begin{bmatrix} 1 & \sin \theta \\ 0 & \cos \theta \end{bmatrix} \begin{bmatrix} \sec \theta & 0 \\ -\tan \theta & 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}$$
- Why use it?
- Is it convenient?
- What happens when  $\theta \rightarrow \frac{\pi}{2}$  ?




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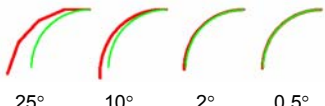
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## Rotation Approximation

- For small angles can approximate:
 
$$x' = x \cos \theta - y \sin \theta \simeq x - y\theta$$

$$y' = x \sin \theta + y \cos \theta \simeq x\theta + y$$
- $\cos \theta \rightarrow 1, \sin \theta \rightarrow \theta$  as  $\theta \rightarrow 0$
- (Taylor expansion of sin/cos)
- Example:
 




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## Rotation Approximation

- App. rotation matrix
 
$$AR^\theta = \begin{pmatrix} 1 & \theta \\ -\theta & 1 \end{pmatrix}$$
- Matrix has to be orthogonal & normalized
- $AR^\theta$  is orthogonal
- $AR^\theta$  not normalized
- Normalize
 
$$AR^\theta = \begin{pmatrix} \frac{1}{c} & \frac{\theta}{c} \\ -\frac{\theta}{c} & \frac{1}{c} \end{pmatrix}, c = \sqrt{1 + \theta^2}$$




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## 3D Transformations

- All 2D transformations extend to 3D
- In homogeneous coordinates:
 

Scaling	Translation	Rotation around the z axis
$S^{(s_x, s_y, s_z)} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$	$T^{(t_x, t_y, t_z)} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ t_x & t_y & t_z & 1 \end{bmatrix}$	$R_z^\theta = \begin{bmatrix} \cos \theta & \sin \theta & 0 & 0 \\ -\sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$


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### 3D Transformations

- Questions:
  - Is  $S_1S_2 = S_2S_1$ ?
  - Is  $T_1T_2 = T_2T_1$ ?
  - Is  $R_1R_2 = R_2R_1$ ?
  - Is  $S_1R_2 = R_2S_1$ ?
  - .....



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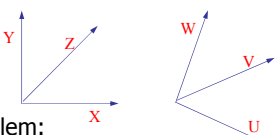
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### Example: Arbitrary Rotation



- Problem:
  - Given two orthonormal coordinate systems  $XYZ$  and  $UVW$
  - Find transformation from one to the other
- Answer:
  - Transformation matrix  $R$  whose rows are  $U, V, W$ :

$$R = \begin{bmatrix} u_x & u_y & u_z \\ v_x & v_y & v_z \\ w_x & w_y & w_z \end{bmatrix}$$


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### Arbitrary Rotation

- Proof:

$$\begin{aligned} R(X) &= (1, 0, 0) \begin{bmatrix} u_x & u_y & u_z \\ v_x & v_y & v_z \\ w_x & w_y & w_z \end{bmatrix} \\ &= (u_x, u_y, u_z) \\ &= U \end{aligned}$$

- Similarly  $R(Y) = V$  &  $R(Z) = W$



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## Arbitrary Rotation (cont.)


- Inverse (=transpose) transformation  $R^{-1}$  provides mapping from  $UVW$  to  $XYZ$
- E.g.
 

$$R^{-1}(U) = (u_x, u_y, u_z) \begin{bmatrix} u_x & v_x & w_x \\ u_y & v_y & w_y \\ u_z & v_z & w_z \end{bmatrix}$$

$$= (u_x^2 + u_y^2 + u_z^2, 0, 0)$$

$$= (1, 0, 0)$$

$$= X$$
- Comment: Used for mapping between  $XY$  and arbitrary plane




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## Transformation of Objects

- Lemma: Affine image of line segment is line segment between image of its end points
- Proof:
  - Given affine transformation  $A$  & line segment
$$S(t) = P_1t + P_2(1-t)$$

$$A(S(t)) = A(P_1t + P_2(1-t))$$

$$= A(P_1t) + A(P_2(1-t))$$

$$= tA(P_1) + (1-t)A(P_2)$$
- Conclusion: polyhedron (polygon) is affinely transformed by transforming its vertices (Why?)




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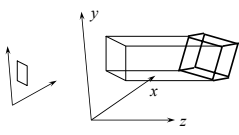
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## Viewing Transformations



- Question: How to view (draw) 3D object on 2D screen?
- Answer:
  - Project transformed object along  $Z$  axis onto  $XY$  plane - and from there to screen (space)
  - Canonical projection:
 
$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$
- In practice "ignore"  $z$  axis - use  $x$  and  $y$  coordinates for screen coordinates




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## Parallel Projection

- Projectors are all parallel
  - Orthographic: Projectors perpendicular to projection plane
  - Oblique: Projectors not necessarily perpendicular to projection plane

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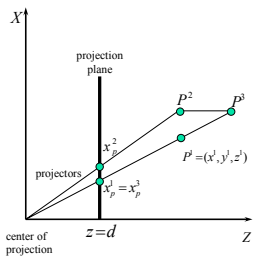
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## Perspective Projection

- Viewing is from *point at finite distance*
- Without loss of generality:
  - Viewpoint at origin
  - Viewing plane is  $z=d$
- Given  $P=(x,y,z)$  triangle similarity gives:
 

$$\frac{x}{z} = \frac{x_p}{d} \text{ and } \frac{y}{z} = \frac{y_p}{d} \Rightarrow x_p = \frac{x}{z/d} \text{ and } y_p = \frac{y}{z/d}$$



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## Perspective Projection (cont'd)

- In matrix notation with homogeneous coordinates:
 

$$P(x,y,z,1) = (x,y,z,1) \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1/d \\ 0 & 0 & 0 & 0 \end{bmatrix} = (x,y,z, \frac{z}{d})$$
- In Euclidean coordinates:
 

$$\left( \frac{x}{z/d}, \frac{y}{z/d}, \frac{z}{z/d} \right) = \left( \frac{x}{z/d}, \frac{y}{z/d}, d \right) = (x_p, y_p, d)$$
- $P$  singular:  $\det(P)=0$



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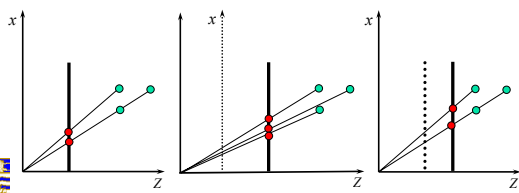
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## Perspective Projection (cont'd)

- What is (if any) is the difference between:
  - Moving projection plane
  - Moving viewpoint (center of projection)?

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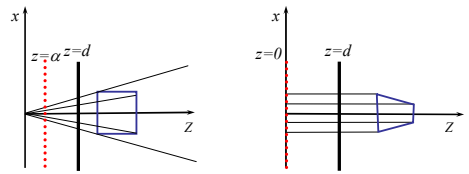
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## Perspective Warp

- $P$  not invertible – can't get depth (order) back
- Idea:
  - Warp viewing frustum (world)
  - Then use parallel projection



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## Perspective Warp

- Matrix formulation

$$(x, y, z, 1) \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{d-\alpha}{d-\alpha} & \frac{1}{d-\alpha} \\ 0 & 0 & \frac{-\alpha d}{d-\alpha} & 0 \end{bmatrix} = \left( \frac{x, y, (z-\alpha)d}{d-\alpha}, \frac{z}{d} \right)$$

$$(x_p, y_p, z_p) = \left( \frac{x}{z/d}, \frac{y}{z/d}, \frac{d^2}{d-\alpha} \left( 1 - \frac{\alpha}{z} \right) \right)$$

- Preserves relative depth (third coordinate)
- What does  $\alpha=0$  mean?

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### Perspective Warp

- Warp modifies planes
- $Ax + By + Cz + D = 0$  warped to:  
 $A\alpha dx_p + B\alpha dy_p + D(\alpha - d)z_p + C\alpha d^2 + Dd^2 = 0$
- What does warp do to other objects?  
Spheres?



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### Another Transformations Quiz

- What does each transformation preserve?

	lines	parallel lines	distance	angles	normals	convexity	conics
scaling							
rotation							
translation							
shear							
perspective							



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