

# Turing Machines

Mark Greenstreet, CpSc 421, Term 1, 2008/09

- A simple example
- Mathematical definition
- More examples

# Background

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- A DFA or NFA has a fixed set of states.
  - Thus, a DFA can only remember a bounded amount about its input no matter how long the input is.
  - We used this to show that there are languages that cannot be recognized by any DFA.
- A PDA has a finite controller **and** an unbounded stack.
  - The stack enables the PDA to store arbitrarily large amounts of data.
  - But, it can only access the top of stack:
    - To reach data that is further down, it must “pop” the intervening data items off the stack.
    - The finite controller can only remember a bounded amount about the stuff that has been popped of.
    - This leads to the limitations of PDAs – there are languages that cannot be recognized by any PDA.

# Turing Machines

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- A Turing Machine has a (deterministic) finite state controller, and ...
- a tape that it can read and write.
  - The tape is unbounded to the right.
  - The tape initially holds the input string.
  - The tape beyond the input string is initially filled with an infinite string of blanks,  $\square$ .
- the finite state controller has two special states:
  - $q_{accept}$ : If the machine ever reaches this state, it halts and accepts the string.
  - $q_{reject}$ : If the machine ever reaches this state, it halts and rejects the string.
  - If  $M$  is a Turing Machine, then the language recognized by  $M$  is written  $L(M)$  and is the set of all strings for which the TM reaches the  $q_{accept}$  state.
- at each step:
  - $M$  reads the symbol at its current position on the tape.
  - Based on that symbol and its current state, the machine:
    - Writes a symbol at the current position;
    - Transitions to a new state; and
    - Moves one square to the left or right.

# Turing Machines (diagram)

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My mouse isn't working right. You can draw it here.

# All strings that contain three a's

- Let  $\Sigma = \{a, b\}$ .
- $M$  has six states:
  - $q_0$  is the initial state: The machine has read 0 a's.
  - $q_1, q_2$  and  $q_3$ : the machine has read 1, 2 or 3 a's respectively.
  - $q_{accept}$ : the machine reaches the end of the string after reading 3 a's.
  - $q_{reject}$ : the machine has read more than 3 a's or reaches the end of the string having read fewer than 3 a's.
- The transitions:

current state	current tape symbol	next state	next tape symbol	move head
$q_0$	a	$q_1$	a	right
$q_0$	b	$q_0$	b	right
$q_0$	$\square$	$q_{reject}$	$\square$	right
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$

# All strings that contain three **a**'s

- $M$  has six states:
- The transitions:

current state	current tape symbol	next state	next tape symbol	move head
$q_0$	a	$q_1$	a	right
$q_0$	b	$q_0$	b	right
$q_0$	□	$q_{reject}$	□	right
$q_1$	a	$q_2$	a	right
$q_1$	b	$q_1$	b	right
$q_1$	□	$q_{reject}$	□	right
$q_2$	a	$q_3$	a	right
$q_2$	b	$q_2$	b	right
$q_2$	□	$q_{reject}$	□	right
$q_3$	a	$q_{reject}$	a	right
$q_3$	b	$q_3$	b	right
$q_3$	□	$q_{accept}$	□	right

# All strings that contain three a's

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You can draw the diagram here.

# An Equivalent Program

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```
state =  $q_0$ ;
while(true) {
  switch(state) {
    case  $q_0$ :
      switch(currentSymbol) {
        case a:
          write(a); state =  $q_1$ ; move(right); break;
        case b:
          write(b); state =  $q_0$ ; move(right); break;
        case  $\square$ :
          write( $\square$ ); state =  $q_{reject}$ ; move(right); break;
      }
    case  $q_1$ : ...
    case  $q_2$ : ...
    case  $q_{accept}$ : accept();
    case  $q_{reject}$ : reject();
  }
}
```



# $a^n b^n c^n$ : Strategy

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- We can't count the number of  $a$ 's,  $b$ 's or  $c$ 's with our finite control (you can't do it with Java  $int$ 's  $long$ 's either (why?)).
- We can zig-zag back and forth across the tape, matching up  $a$ 's,  $b$ 's and  $c$ 's.
- Plan:
  - If the tape starts with an  $a$ , cross it off
    - scan to the right until we find a matching  $b$ , and cross it off.
    - continue scanning to the right until we find a matching  $c$ , and cross it off
    - Return to the beginning of the tape, and repeat the procedure.
  - We're done when...
    - We cross of every symbol – then accept 😊.
    - We fail to find a  $b$  or  $c$  when scanning to the right – reject ☹.
    - We still have some  $b$ 's or  $c$ 's left over after reading the last  $a$  – reject ☹.
  - Note:
    - When we return to the beginning of the  $a$ 's, we need to be able to distinguish having read all of the input from not having enough  $a$ 's.
    - Solution: we'll use a different symbol for crossing off  $a$ 's.

# A program for $a^n b^n c^n$

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```
while(true) {
  if(currentSymbol == □) accept();
  if(currentSymbol == a) {
    write(A); move(right)
    while(currentSymbol ∈ {a, B}) move(right);
    if(currentSymbol == b) {
      write(B); move(right);
    } else reject();
    while(currentSymbol ∈ {b, C}) move(right);
    if(currentSymbol == c) {
      write(C); move(left);
    } else reject();
    while(currentSymbol != A) move(left);
    move(right);
  } else if(currentSymbol ∈ {B, C}) move(right);
  else reject();
}
```

# Compiling to a Turing Machine

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```
q0:      while(true) {
q0:          if(currentSymbol == □) {
qaccept:             accept();
q0:          } else if(currentSymbol == a) {
q0:              write(A); move(right)
q1:              while(currentSymbol ∈ {a, B}) move(right);
q1:              if(currentSymbol == b) {
q1:                  write(B); move(right);
q1:              } else
qreject:             reject();
q2:              while(currentSymbol ∈ {b, C}) move(right);
q2:              if(currentSymbol == c) {
q2:                  write(C); move(left);
q2:              } else
qreject:             reject();
q3:              while(currentSymbol != A) move(left);
q3:              move(right);
q0:          } else if(currentSymbol ∈ {B, C}) {
q0:              move(right);
q0:          } else reject();
}
```

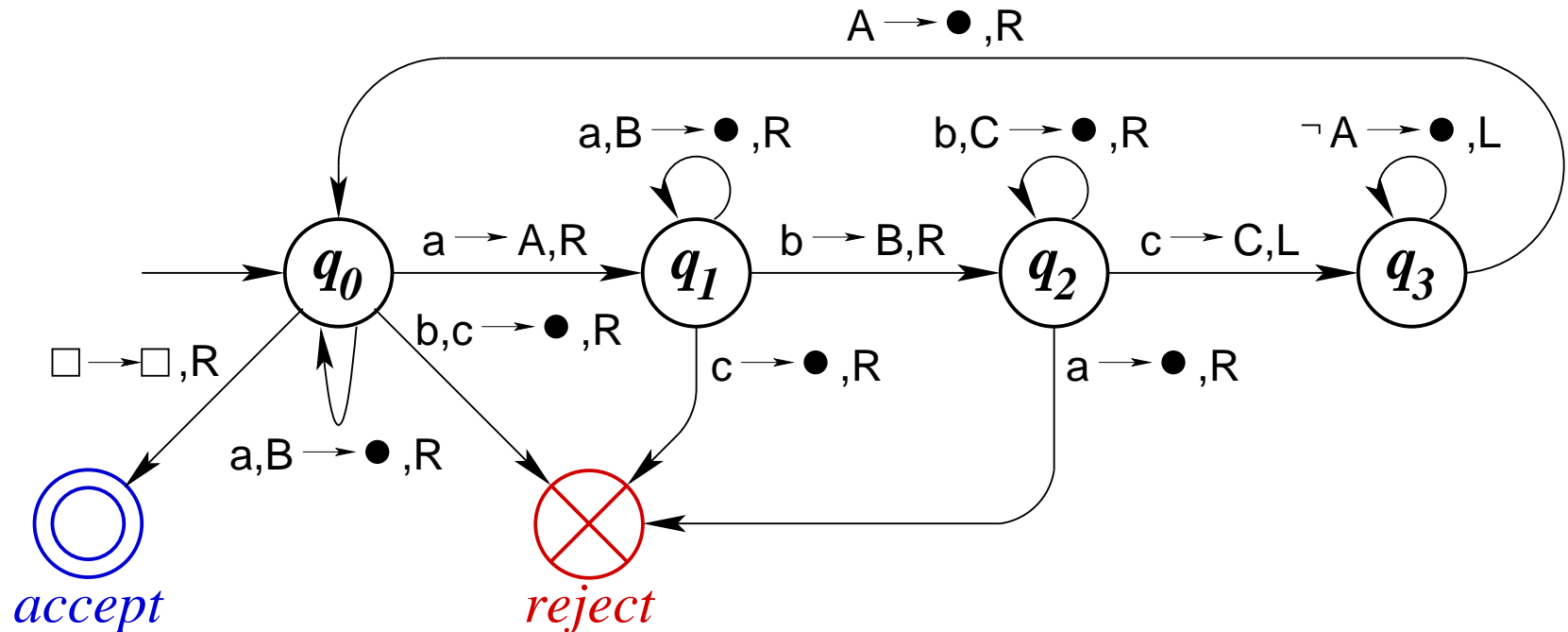
# A Turing Machine for $a^n b^n c^n$

- Input alphabet:  $\Sigma = \{a, b, c\}$ .
- States:  $Q = \{q_0, q_1, q_2, q_3, q_4, q_{accept}, q_{reject}\}$ .
- Tape alphabet:  $\Gamma = \{a, b, c, A, B, C, \square\}$ .
- Transitions:

$q_0 :$	$(q_0, a) \rightarrow (q_1, A, \text{right})$	$(q_0, \square) \rightarrow (q_{accept}, \square, \text{right})$
	$(q_0, \{B, C\}) \rightarrow (q_0, \bullet, \text{right})$	$(q_0, \text{other}) \rightarrow (q_{reject}, \bullet, \text{right})$
$q_1 :$	$(q_1, \{a, B\}) \rightarrow (q_1, \bullet, \text{right})$	$(q_1, b) \rightarrow (q_2, B, \text{right})$
	$(q_1, \text{other}) \rightarrow (q_{reject}, \bullet, \text{right})$	
$q_2 :$	$(q_2, \{b, C\}) \rightarrow (q_2, \bullet, \text{right})$	$(q_2, c) \rightarrow (q_3, C, \text{left})$
	$(q_2, \text{other}) \rightarrow (q_{reject}, \bullet, \text{right})$	
$q_3 :$	$(q_3, \Gamma - \{A\}) \rightarrow (q_3, \bullet, \text{left})$	$(q_3, A) \rightarrow (q_0, \bullet, \text{right})$

- Writing a  $\bullet$  on the tape means writing the same symbol that was read.

# A Turing Machine for $a^n b^n c^n$



To avoid clutter, I've omitted edges for transitions that can never occur. These are labeled "*other*" in the table on the previous slide.

# An Accepting Run

step	state	tape
0	$q_0$	aaabbbccc□*
1	$q_1$	Aaabbbccc□*
2	$q_1$	Aaabbbccc□*
3	$q_1$	Aaabbbccc□*
4	$q_2$	AaaBbbccc□*
5	$q_2$	AaaBbbccc□*
6	$q_2$	AaaBbbccc□*
7	$q_3$	AaaBbbCcc□*
8	$q_3$	AaaBbbCcc□*
9	$q_3$	AaaBbbCcc□*
10	$q_3$	AaaBbbCcc□*
11	$q_3$	AaaBbbCcc□*
12	$q_3$	AaaBbbCcc□*
13	$q_0$	AaaBbbCcc□*

The purple symbol indicates the current tape head position.

# An Accepting Run

---

step	state	tape
13	$q_0$	AaBbbCcc□*
14	$q_1$	AAaBbbCcc□*
15	$q_1$	AAaBbbCcc□*
16	$q_1$	AAaBbbCcc□*
17	$q_2$	AAaBBbCcc□*
18	$q_2$	AAaBBbCcc□*
19	$q_2$	AAaBBbCcc□*
20	$q_3$	AAaBBbCCc□*
21	$q_3$	AAaBBbCCc□*
22	$q_3$	AAaBBbCCc□*
23	$q_3$	AAaBBbCCc□*
24	$q_3$	AAaBBbCCc□*
25	$q_3$	AAaBBbCCc□*
26	$q_0$	AAaBBbCCc□*

# An Accepting Run

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step	state	tape
26	$q_0$	AAaBBbCCc□*
27	$q_1$	AAABbBBbCCc□*
28	$q_1$	AAABbBBbCCc□*
29	$q_1$	AAABbBBbCCc□*
30	$q_2$	AAABBBbCCc□*
31	$q_2$	AAABBBbCCc□*
32	$q_2$	AAABBBbCCc□*
33	$q_3$	AAABBBbCC□*
34	$q_3$	AAABBBbCC□*
35	$q_3$	AAABBBbCC□*
36	$q_3$	AAABBBbCC□*
37	$q_3$	AAABBBbCC□*
38	$q_3$	AAABBBbCC□*
39	$q_0$	AAABBBbCC□*



# An Accepting Run

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step	state	tape
39	$q_0$	AAABBBCCC□*
40	$q_0$	AAABBBCCC□*
41	$q_0$	AAABBBCCC□*
42	$q_0$	AAABBBCCC□*
43	$q_0$	AAABBBCCC□*
44	$q_0$	AAABBBCCC□*
45	$q_0$	AAABBBCCC□□*
47	$q_{accept}$	AAABBBCCC□□□*

# Formal Definition of Turing Machines

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- A Turing machine is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$  where
  - $Q$  is a finite set, the states.
  - $\Sigma$  is a finite set, the input alphabet.
  - $\Gamma \supset \Sigma$  is a finite set, the tape alphabet.
  - $\delta : (Q \times \Gamma) \rightarrow (Q \times \Gamma \times \{L, R\})$  is the transition function.
  - $q_0 \in Q$  is the initial state.
  - $q_{accept} \in Q$  is the accepting state.
  - $q_{reject} \in Q$  is the rejecting state.

# Turing Machine Configurations

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- Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$  be a Turing machine.
- A configuration consists of
  - A state,  $q$ , the current state of the Turing Machine.
  - A string  $w$ , the tape currently holds  $w\Box^*$ .
  - A position: where the read/write head is along the tape.
- We write  $uqv$  where  $u \in \Gamma^*$  and  $v \in \Gamma^*$  to indicate that a Turing machine is in a configuration where
  - The controller is in state  $q$ .
  - The tape contents are  $uv\Box^*$ .
  - The read/write head is positioned at the first symbol of  $v$ .

# Turing Machine Moves

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- Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$  be a Turing machine.
- Let  $q$  be a state in  $Q - \{q_{accept}, q_{reject}\}$ .  $M$  can **move** from configuration  $uqcv$  to configuration  $u'q'v'$  for some  $u, v, u', v' \in \Gamma^*$ ,  $q, q' \in Q$ , and  $c \in \Gamma$ , iff
  - There is some  $d$  such that  $\delta(q, c) = (q', d, R)$ , and
    - $v \neq \epsilon$  and  $u' = ud$ , and  $v' = v$ ; or
    - $v = \epsilon$  and  $u' = ud$ , and  $v' = \square$ ; or
  - There is some  $d$  such that  $\delta(q, c) = (q', d, L)$ , and
    - $u = u'b$  and  $v' = bdv$ ; or
    - $u = u' = \epsilon$  and  $v' = dv$ .
- If  $C_1$  and  $C_2$  are configurations and  $M$  can move from  $C_1$  to  $C_2$ , then we write  $C_1 \xrightarrow{M} C_2$ . If  $M$  is obvious from context, we write  $C_1 \rightarrow C_2$ .

# Turing Machine Moves

---

- Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$  be a Turing machine.
- Let  $q$  be a state in  $Q - \{q_{accept}, q_{reject}\}$ .  $M$  can **move** from configuration  $uqcv$  to configuration  $u'q'v'$  for some  $u, v, u', v' \in \Gamma^*$ ,  $q, q' \in Q$ , and  $c \in \Gamma$ , iff ...
- If  $C_1$  and  $C_2$  are configurations and  $M$  can move from  $C_1$  to  $C_2$ , then we write  $C_1 \xrightarrow{M} C_2$ . If  $M$  is obvious from context, we write  $C_1 \rightarrow C_2$ .
- If  $C = uqv$  is a configuration with  $q = q_{accept}$ , we say that  $C$  is an **accepting configuration**.  
Likewise if  $q = q_{reject}$ , we say that  $C$  is a **rejecting configuration**.
- Accepting and rejecting configuration are **halting** configurations: the Turing machine makes no further moves from such a configuration.

# Turing Machine Acceptance

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- Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$  be a Turing machine.
- $M$  **accepts** input  $w$  iff there is a set of configurations  $C_0, C_1, \dots, C_i$  such that
  - $C_0 = q_0 w$ ;
  - For all  $j$  in  $0 \dots i - 1$ ,  $C_j \xrightarrow{M} C_{j+1}$ ;
  - $C_i$  is an accepting configuration.
- $M$  **rejects**  $w$  iff there is a set of configurations that ends in a rejecting configuration.
- $M$  **loops** on input  $w$  if  $M$  neither accepts nor rejects  $w$ . This means that  $w$  executes forever on input  $w$ .

# Languages recognized by TMs

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- Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$  be a Turing machine.
- $M$  recognizes language  $A$  iff
  - $M$  accepts  $w$  iff  $w \in A$ .
  - If  $w \notin A$ , then  $M$  may either reject or loop on input  $w$ .
- $M$  decides language  $A$  iff
  - If  $w \in A$  then  $M$  accepts  $w$ ; and
  - if  $w \notin A$  then  $M$  rejects  $w$ .
  - (In other words,  $M$  never loops.)

# Turing Languages

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- A language is **Turing recognizable** iff there is some Turing machine that recognizes it (such a Turing machine may loop).
- A language is **Turing decidable** iff there is some Turing machine that decides it (i.e. no looping).
- Every Turing decidable language is Turing recognizable, but
- We will show
  - there are Turing recognizable languages that are not Turing decidable (next week)
  - there are languages that not even Turing recognizable (later).



# This coming week

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- Reading

- October 17 (today): *Sipser*3.1.
- October 20 (Monday): *Sipser*3.2.
- October 22 (Wednesday): *Sipser*3.3.
- October 24 (a week from today): *Sipser*4.1.

- Homework

- October 17 (today): Homework 4 due; homework 6 goes out.
- October 20 (Monday): Homework 5 due.
- October 24 (a week from today): Homework 6 due; homework 7 goes out.