Do problem 0 and any three of problems 1-5.
If you attempt more than three of problems 1-5, please indicate which ones you want graded - otherwise, I'll make an arbitrary choice.

Graded on a scale of 100 points.
You can attempt from 89 to 110 points depending on which problems you choose. If you score over 100, you get to keep the extra credit.
0. (5 points) Your name: Mark Greenstreet Your student \#: $\underline{1.602178480 \times 10^{-19}}$

1. (24 points)
(a) ( $\mathbf{8}$ points) Let $A_{1}$ be the language recognized by the NFA below:


Write a regular expression that generates $A_{1}$.
Solution: a a ab (bab)*
(b) ( $\mathbf{8}$ points) Let $A_{2}$ be the language recognized by the NFA below:


Draw the state diagram for a DFA that recognizes $A_{2}$.

Solution: The NFA recognizes all strings that end with two consecutives a's or two consecutive b's. The DFA below recognizes the same language.

(c) ( 8 points) Draw the state diagram for a NFA that recognizes $A_{1} \cdot A_{2}$.

Solution 1: Just draw an $\epsilon$-edge from the final state of the NFA for $A_{1}$ to the start state of the NFA for $A_{2}$ :


Solution 2: Looking at the solution 1, we can see that the (bab)* loop at the accepting state of the NFA for $A_{1}$ is subsumed by the $(\mathrm{a} \cup \mathrm{b})^{*}$ loop at the start state of the NFA for $A_{2}$. Thus, we can drop the states and edges for the ( bab$)^{*}$ loop without changing the language recognized by the NFA:

2. (30 points) Let $\Sigma=\{\mathrm{a}, \mathrm{b}, \mathrm{c}\}$, and let $B=\left\{w \mid \exists i, j . w=\mathrm{a}^{i} \mathrm{~b}^{j} \mathrm{c}^{i+j}\right\}$. Prove that $B$ is not regular.

## Solution 1:

Let $p$ be a proposed pumping lemma constant for $B$.
Let $w=\mathrm{a}^{p} \mathrm{~b}^{p} \mathrm{C}^{2 p} \in B$.
Let $x, y$ and $z$ be three strings such that $x y z=w,|x y| \leq p$ and $|y|>1$. Note that $x y \in L\left(\mathrm{a}^{*}\right)$.
Thus, $x y^{0} z=\mathrm{a}^{p-|y|} \mathrm{b}^{p} \mathrm{C}^{2 p}$ which is not in $B$ because $(p-|y|)+p=2 p-|y| \neq 2 p$.

## Solution 2:

Let $B^{\prime}=B \cap \mathrm{a}^{*} \mathrm{c}^{*}=\mathrm{a}^{n} \mathrm{c}^{n}$. Because the regular languages are closed under intersection and $\mathrm{a}^{*} \mathrm{c}^{*}$ is regular, $B^{\prime}$ would be regular if $B$ were regular. It was shown in class (and in Sipser) that $\mathrm{a}^{n} \mathrm{~b}^{n}$ (and thus $a^{n} c^{n}$ ) is not regular; therefore $B$ is not regular either.
3. (30 points) Let $C_{1}$ be a language with alphabet $\Sigma=\{\mathrm{a}, \mathrm{b}\}$. Let

$$
C_{2}=\left\{w \in \Sigma^{*} \mid a^{|w|} \in C_{1}\right\}
$$

Show that if $C_{1}$ is regular, then $C_{2}$ is regular as well.
It is sufficient, for example, to describe how to construct an NFA for $C_{2}$ given a NFA or DFA (you choose) for $C_{1}$. You don't have to give all of the formal details, just describe enough that it is clear that you could write the formulas if you had sufficient time. For example, my solution consists of four English sentences.

## Solution 1:

Consider the state diagram for a DFA that recognizes $C_{1}$. Discard all edges except for those labeled a. Replace the edges labeled a with edges labeled $\Sigma$. This produces a NFA that recognizes $C_{2}$.

## Solution 2:

Let $N=\left(Q, \Sigma, \delta, q_{0}, F\right)$ be a (Sipser-style) NFA that recognizes $C_{1} \cap \mathrm{a}^{*}$. For all $q \in Q$ and $c \in \Sigma$ define $\delta^{\prime}(q, c)=\delta(q$, a $)$. Then, $N^{\prime}=\left(Q, \Sigma, \delta^{\prime}, q_{0}, F\right)$ is a NFA that recognizes $C_{2}$.
Explanatory comment (not needed to get full credit): $N^{\prime}$ works by replacing every edge labeled with a with an edge labeled with $\Sigma$. Thus, $N^{\prime}$ accepts any string of length $n$ iff $N$ accepts a ${ }^{n}$.
4. ( 35 points) Let $D_{1}$ be a language with alphabet $\Sigma=\{\mathrm{a}, \mathrm{b}\}$. Let

$$
D_{2}=\left\{w \in \Sigma^{*} \mid \exists x \in D_{1} \cdot x=\mathrm{a}^{n} \mathrm{~b}^{n} \text { with } n=|w|\right\}
$$

Show that if $D_{1}$ is regular, then $D_{2}$ is regular as well.
It is sufficient to describe how to construct an NFA for $D_{2}$ given a NFA or DFA for $D_{1}$. You don't have to give all of the formal details, just describe enough that it is clear that you could write the formulas if you had sufficient time.

Solution (long): Let $M=\left(Q, \Sigma, \delta, q_{0}, F\right)$ be a DFA that recognizes language $D_{1}$ with $Q=\left\{q_{0}, q_{1}, \ldots q_{m-1}\right\}$ where $m$ is the number of states of $M$. I'll now construct languages $C_{0} \ldots C_{m-1}$ that are each regular, and whose union is $D_{2}$. Let $M_{a, i}=\left(Q, \Sigma, \delta, q_{0},\left\{q_{i}\right\}\right)$. Clearly, $L\left(M_{a, i}\right)$ is regular, and by question 3 , the language

$$
A_{i}=\left\{w \mid a^{|w|} \in L\left(M_{a, i}\right)\right\}
$$

is regular as well. Note that $A_{i}$ is the set of all strings $w$ such that machine $M$ reaches state $q_{i}$ after reading $a^{|w|}$.
Likewise, we can let $M_{b, i}=\left(Q, \Sigma, \delta, q_{i}, F\right)$ and

$$
B_{i}=\left\{w \mid b^{|w|} \in L\left(M_{b, i}\right)\right\}
$$

is regular - it is the set of all strings $w$ such that $M$ can reach a state in $F$ by starting in state $q_{i}$ and reading $\mathrm{b}^{|w|}$.
Let $C_{i}=A_{i} \cap B_{i} . C_{i}$ is regular because $A_{i}$ and $B_{i}$ are regular and the regular languages are closed under intersection. Language $C_{i}$ is the set of all strings $w$ such that $M$ can start in state $q_{0}$, read a ${ }^{|w|}$ to reach state $q_{i}$, then read $\mathrm{b}^{|w|}$ to reach a state in $F$. Thus, if $w \in L\left(C_{i}\right)$ then $w \in D_{2}$. Finally, note that if $w \in D_{2}$, then there is some state $q_{i}$ such that $\delta\left(q_{0}, \mathrm{a}^{|w|}\right)=q_{i}$ and $\delta\left(q_{i}, \mathrm{~b}^{|w|}\right) \in F$. Thus, $w \in C_{i}$. We conclude that

$$
D_{2}=\bigcup_{i=0}^{m-1} C_{i}
$$

and $D_{2}$ is regular because the regular languages are closed under union.
Solution (short): Let $M=\left(Q, \Sigma, \delta, q_{0}, F\right)$ be a DFA that recognizes language $D_{1}$ with $Q=\left\{q_{0}, q_{1}, \ldots q_{m-1}\right\}$. Let $A_{i}$ be the set of all strings $w$, such that machine $M$ reaches state $q_{i}$ after reading a ${ }^{|w|}$. Let $B_{i}$ be the set of all strings $w$ such that machine $M$ reaches a state in $F$ after starting in state $q_{i}$ and reading $\mathrm{b}^{|w|}$. $A_{i}$ and $B_{i}$ are both regular by the solution to question 3 . String $w$ is in $D_{2}$ iff there is some state $q_{i}$ such that $\delta\left(q_{0}, \mathrm{a}^{|w|}\right)=q_{i}$ and $\delta\left(q_{i}, \mathrm{~b}^{|w|}\right) \in F$. Thus,

$$
D_{2}=\bigcup_{i=0}^{m-1} A_{i} \cap B_{i}
$$

and $D_{2}$ is regular because the regular languages are closed under intersection and union.
Solution (alternate): Construct two NFAs: the first NFA starts in state $q_{0}$ and moves forward on a-edges of $M$. The second NFA starts in any state in $F$ and moves backward along b-edges of $M$. A string is in $D_{2}$ iff these two NFAs can reach the same state after $|w|$ moves. This is basically building a product machine that recognizes $D_{2}$. We've never discussed product NFA in class (only product DFAs), but a solution that notes that extrapolation will get full credit.
5. (40 points) Let $\Sigma=\{0,1\}$, and let $E_{1}$ and $E_{2}$ be the languages defined below:

$$
\begin{aligned}
& E_{1}=\left\{w \mid \exists k \in \mathbb{Z}, x \in \Sigma^{*} .(|w|=10 k) \wedge(|x|=k) \wedge\left(w=x^{10}\right)\right\} \\
& E_{2}=\left\{w \mid \exists k \in \mathbb{Z}, x \in \Sigma^{*} .(|w|=10 k) \wedge(|x|=10) \wedge\left(w=x^{k}\right)\right\}
\end{aligned}
$$

One of these languages is regular and the other is not. Identify which language is which.
(a) (20 points) Describe a DFA, NFA or regular expression for the language that is regular - you don't need to draw a complete state diagram or write the entire expression; just describe how to construct it.
Solution: $E_{2}$ is regular.
Because $|x|=10$ and $|\Sigma|=2$, there are $2^{10}$ possible values for $x$ in the definition of $E_{2}$. Let $\alpha_{i}$ be a regular expresion that matches the $i^{t h}$ such string. For example, we could define:

$$
\begin{array}{rcc}
\alpha_{0} & =0000000000 \\
\alpha_{1} & =0000000001 \\
\alpha_{2} & =0000000010 \\
\alpha_{3} & =0000000011 \\
\alpha_{4} & = & 0000000100 \\
\vdots & \vdots & \vdots \\
\alpha_{1023} & = & 1111111111
\end{array}
$$

Now, note that $E_{2}$ is generated by the regular expression

$$
\beta=\bigcup_{i=0}^{1023} \alpha_{i}^{*}
$$

This is a finite union. Thus, $\beta$ is a regular expression, and therefore $E_{2}$ is regular.
(b) ( $\mathbf{2 0}$ points) For the other language, prove that it is not regular.

Solution: $E_{1}$ is not regular.
Let $p$ be a proposed pumping lemma constant for $E_{1}$.
Let $w=\left(0^{p} 1\right)^{10} \in E_{1}$.
Let $x, y$ and $z$ be any three strings with $x y z=w,|x y| \leq p$ and $|y| \geq 1$.
Note that $x y$ is a prefix of the first $p 0$ 's of $w$.
Thus, $x y^{2} z=0^{p+|y|} 1\left(0^{p} 1\right)^{9}$ which is not in $E_{1}$. Therefore, $E_{1}$ is not regular.

