





## Chapter 4

### Transformations

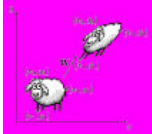
## Transformations

- Transformation = *one-to-one* and *onto* mapping of  $R^n$  to itself
- Affine transformation –  $T(v) = Av + b$ 
  - A – matrix
  - v, b – vectors



$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} b_1 \\ b_2 \end{bmatrix}$$



## Geometric Transformations

- Geometric Transformation = affine transformation with geometric meaning



- Mathematically transformations are defined on vectors  $\Rightarrow$  for point P, use vector P-Origin

## Matrix Representation



- Combining transformations

$$ST(v) = C(Av + b) + d = (CA)v + (Cb + d)$$

- Same format (multiply by matrix & add vector)



$$A' = CA \quad b' = Cb + d$$

- Can reduce to matrix multiplication only
  - Homogeneous coordinates (later on)

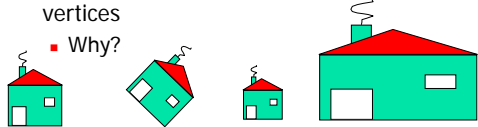

## Transformations – sub topics

- 2D Transformations
- Homogeneous Coordinates
- 3D Transformations
- Composing Transformations
- Transformation Hierarchies
- Transforming Normals
- Assignment 1 – dinosaurs
  - Use transformations to create and animate dinosaurs made from spheres

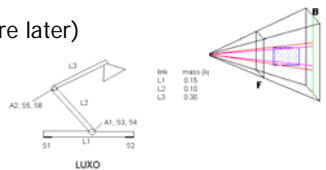
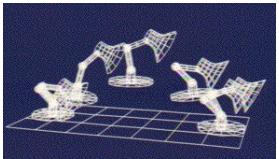
## Transformations

- Transforming an object = transforming all its points
- Transforming a polygon = transforming its vertices
  - Why?

## Applications


- Viewing (more later)
- Modeling
- Articulation

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## Scaling

- $V = \begin{pmatrix} v_x \\ v_y \end{pmatrix}$  – vector in XY plane
- Scaling operator  $S$  with parameters  $(s_x, s_y)$ :
 
$$S^{(s_x, s_y)}(V) = \begin{pmatrix} s_x v_x \\ s_y v_y \end{pmatrix}$$



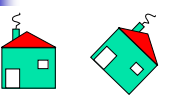
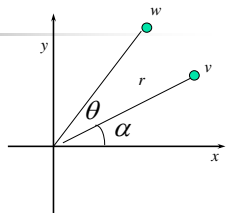
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## Scaling

- Matrix form:
 
$$S^{(s_x, s_y)}(V) = \begin{pmatrix} s_x & 0 \\ 0 & s_y \end{pmatrix} \begin{pmatrix} v_x \\ v_y \end{pmatrix} = \begin{pmatrix} s_x v_x \\ s_y v_y \end{pmatrix}$$
- Independent in  $x$  and  $y$

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## Rotation

- Polar form:
 
$$v = \begin{pmatrix} v_x \\ v_y \end{pmatrix} = \begin{pmatrix} r \cos \alpha \\ r \sin \alpha \end{pmatrix}$$
- Rotating  $v$  counterclockwise by  $\theta$  to  $w$ :
 
$$w = \begin{pmatrix} w_x \\ w_y \end{pmatrix} = \begin{pmatrix} r \cos(\alpha + \theta) \\ r \sin(\alpha + \theta) \end{pmatrix} = \begin{pmatrix} r \cos \alpha \cos \theta - r \sin \alpha \sin \theta \\ r \cos \alpha \sin \theta + r \sin \alpha \cos \theta \end{pmatrix}$$

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## Rotation

- Matrix form:
 
$$w = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} r \cos \alpha \\ r \sin \alpha \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} v$$
- Rotation operator  $R$  (at the origin) with parameter  $\theta$ :
 
$$R^\theta = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

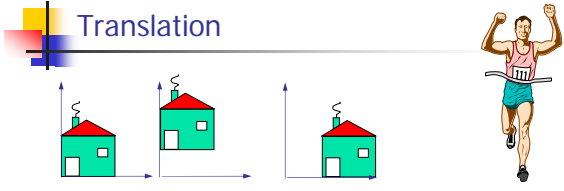
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## Rotation Properties

- $R^\theta$  is orthonormal
 
$$(R^\theta)^{-1} = (R^\theta)^T$$
- $R^{-\theta}$  - rotation by  $-\theta$  is
 
$$R^{-\theta} = \begin{bmatrix} \cos(-\theta) & -\sin(-\theta) \\ \sin(-\theta) & \cos(-\theta) \end{bmatrix} = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} = (R^\theta)^{-1}$$

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## Translation



- Translation operator  $T$  with parameters  $(t_x, t_y)$ :

$$T^{(t_x, t_y)}(v) = \begin{pmatrix} v_x + t_x \\ v_y + t_y \end{pmatrix}$$

- How can we write this in matrix form?

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## Translation - Homogeneous Coordinates

- To represent  $T$  in matrix form – introduce homogeneous coordinates:

$$v = \begin{pmatrix} v_x \\ v_y \end{pmatrix} \rightarrow v^h = \begin{pmatrix} v_x^h \\ v_y^h \\ v_w^h \end{pmatrix} = \begin{pmatrix} v_x \\ v_y \\ 1 \end{pmatrix}$$

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## Translation - Homogeneous Coordinates

- Conversion (projection) from homogeneous space to Euclidean:

$$v = \begin{pmatrix} v_x \\ v_y \end{pmatrix} = \begin{pmatrix} v_x^h / v_w^h \\ v_y^h / v_w^h \end{pmatrix}$$

- Projections is not 1:1

$$\begin{pmatrix} 2 \\ 2 \\ 1 \end{pmatrix}, \begin{pmatrix} 4 \\ 4 \\ 2 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \\ 0.5 \end{pmatrix} \text{ all project to } \begin{pmatrix} 2 \\ 2 \end{pmatrix}$$

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## Translation

- Using homogeneous coordinates, translation operator may be expressed as:

$$T^{(t_x, t_y)}(v^h) = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{pmatrix} v_x \\ v_y \\ 1 \end{pmatrix} = \begin{pmatrix} v_x + t_x \\ v_y + t_y \\ 1 \end{pmatrix}$$

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## Homogeneous Coordinates

$$\text{Rotation} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

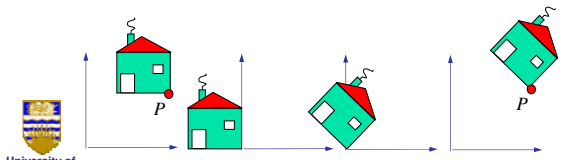
$$\text{Scale} = \begin{bmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Other ideas for uniform scale?

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## Transformation Composition


- What operation rotates  $XY$  by  $\theta$  around  $P = \begin{pmatrix} p_x \\ p_y \end{pmatrix}$ ?
- Answer:
  - Translate  $P$  to origin
  - Rotate around origin by  $\theta$
  - Translate back



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## Transformation Composition

$$T^{(p_x, p_y)} R^\theta T^{(-p_x, -p_y)}(V)$$

$$= \begin{bmatrix} 1 & 0 & p_x \\ 0 & 1 & p_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & -p_x \\ 0 & 1 & -p_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} v_x \\ v_y \\ 1 \end{bmatrix}$$


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## Transformations Quiz




- What do these transformations do?

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$


$$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix}$$



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## Transformations Quiz




- And these homogeneous ones?

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0.5 \end{bmatrix}$$


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## Transformations Quiz



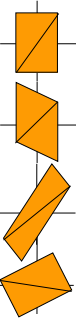

- How to mirror through arbitrary line in XY?
- What transformation achieves this?

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## Shear & Mirroring/Reflection


- Shear (canonical)
 
$$\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ a & 1 \end{bmatrix}$$
- Mirroring/Reflection
 
$$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$
- What is the relation between shears and rotations?

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## Linear Transformations


- Combinations of
  - shear
  - scale
  - rotate
  - reflect
$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} \quad \begin{aligned} x' &= ax + by \\ y' &= cx + dy \end{aligned}$$
- Properties (why?)
  - satisfies  $T(sx + ty) = s T(x) + t T(y)$
  - origin maps to origin
  - Straight lines map to straight lines
  - parallel lines remain parallel
  - closed under composition



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## Affine Transformations

- Combinations of
  - linear transformations
  - translations
- Properties (why?)
  - origin does not necessarily map to origin
  - lines map to lines
  - parallel lines remain parallel
  - ratios are preserved
  - closed under composition

$$\begin{bmatrix} x' \\ y' \\ w' \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ w \end{bmatrix}$$




## 3D Transformations

- All 2D transformations extend to 3D
- In homogeneous coordinates:

Scaling                      Translation                      Rotation around the z axis

$$S^{(s_x, s_y, s_z)} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad T^{(t_x, t_y, t_z)} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad R_z^\theta = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

**glScalef(a,b,c);      glTranslatef(a,b,c);      glRotatef(angle,0,0,1);**

## 3D Rotation in X, Y


around x axis:                      around y axis:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \quad \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

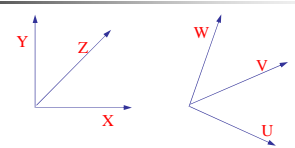
**glRotatef(angle,1,0,0);                      glRotatef(angle,0,1,0);**

- general OpenGL command


**glRotatef(angle,x,y,z);**



## Arbitrary Rotation



- Problem:
  - Given two orthonormal coordinate systems XYZ and UVW
  - Find transformation from one to the other
- Answer:
  - Transformation matrix R whose columns are U, V, W:


$$R = \begin{bmatrix} u_x & v_x & w_x \\ u_y & v_y & w_y \\ u_z & v_z & w_z \end{bmatrix}$$


## Arbitrary Rotation

- Proof:

$$R(X) = \begin{bmatrix} u_x & v_x & w_x \\ u_y & v_y & w_y \\ u_z & v_z & w_z \end{bmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} u_x \\ u_y \\ u_z \end{pmatrix} = U$$

- Similarly  $R(Y) = V$  &  $R(Z) = W$




## Arbitrary Rotation (cont.)

- Inverse (=transpose) transformation  $R^{-1}$  provides mapping from UVW to XYZ
- E.g.

$$R^{-1}(U) = \begin{bmatrix} u_x & u_y & u_z \\ v_x & v_y & v_z \\ w_x & w_y & w_z \end{bmatrix} \begin{pmatrix} u_x \\ u_y \\ u_z \end{pmatrix} = \begin{pmatrix} u_x^2 + u_y^2 + u_z^2 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = X$$

- Comment: Used for mapping between XY and arbitrary plane



## 3D Shear

- shear in x  

$$xshear(sy, sz) = \begin{bmatrix} 1 & sy & sz & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$
- shear in y  

$$yshear(sx, sz) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ sx & 1 & sz & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$
- shear in z  

$$zshear(sx, sy) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ sx & sy & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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## Undoing Transformations: Inverses

$$\mathbf{T}(x, y, z)^{-1} = \mathbf{T}(-x, -y, -z)$$

$$\mathbf{T}(x, y, z) \mathbf{T}(-x, -y, -z) = \mathbf{I}$$

$$\mathbf{R}(z, \theta)^{-1} = \mathbf{R}(z, -\theta) = \mathbf{R}^T(z, \theta) \quad (\mathbf{R} \text{ is orthogonal})$$

$$\mathbf{R}(z, \theta) \mathbf{R}(z, -\theta) = \mathbf{I}$$

$$\mathbf{S}(sx, sy, sz)^{-1} = \mathbf{S}\left(\frac{1}{sx}, \frac{1}{sy}, \frac{1}{sz}\right)$$

$$\mathbf{S}(sx, sy, sz) \mathbf{S}\left(\frac{1}{sx}, \frac{1}{sy}, \frac{1}{sz}\right) = \mathbf{I}$$

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## 3D Transformations - Composition

- Questions:
  - Is  $S_1 S_2 = S_2 S_1$ ?
  - Is  $T_1 T_2 = T_2 T_1$ ?
  - Is  $R_1 R_2 = R_2 R_1$ ?
  - Is  $S_1 R_2 = R_2 S_1$ ?
  - .....

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## Composing Translations

$$T1 = T(dx_1, dy_1) = \begin{bmatrix} 1 & dx_1 & 0 \\ 0 & 1 & dy_1 \\ 0 & 0 & 1 \end{bmatrix}$$

$$T2 = T(dx_2, dy_2) = \begin{bmatrix} 1 & dx_2 & 0 \\ 0 & 1 & dy_2 \\ 0 & 0 & 1 \end{bmatrix}$$

$$P'' = T2 \bullet P' = T2 \bullet [T1 \bullet P] = [T2 \bullet T1] \bullet P, \text{ where}$$

$$T2 \bullet T1 = \begin{bmatrix} 1 & dx_1 + dx_2 & 0 \\ 0 & 1 & dy_1 + dy_2 \\ 0 & 0 & 1 \end{bmatrix}$$

**Translations add**

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## Composing Transformations

- scaling  

$$S2 \bullet S1 = \begin{bmatrix} sx_1 \cdot dx_2 & & & \\ & sy_1 \cdot sy_2 & & \\ & & 1 & \\ & & & 1 \end{bmatrix} \quad \text{scales multiply}$$
- rotation  

$$R2 \bullet R1 = \begin{bmatrix} \cos(\theta_1 + \theta_2) & -\sin(\theta_1 + \theta_2) & & \\ \sin(\theta_1 + \theta_2) & \cos(\theta_1 + \theta_2) & & \\ & & 1 & \\ & & & 1 \end{bmatrix} \quad \text{rotations add}$$

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## Composing Transformations

**ORDER MATTERS!**

$Ta Tb = Tb Ta$ , but  $Ra Rb \neq Rb Ra$  and  $Ta Rb \neq Rb Ta$

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## Another Transformations Quiz

- What does each transformation preserve?

	lines	parallel lines	distance	angles	normals	convexity
scaling						
rotation						
translation						
shear						

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