

Unit #0: Introduction

CPSC 221: Algorithms and Data Structures

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2016W1

¹Thanks to Steve Wolfman for the content of most of these slides with additional material from Alan Hu, Ed Knorr, and Kim Voll.

Unit Outline

- ▶ Course logistics
- ▶ Course overview
- ▶ Fibonacci Fun

- ▶ Arrays
- ▶ Queues
- ▶ Stacks
- ▶ Deques

Course Information

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Tue 10-11
Wed 2-3p

Office hours

See www.ugrad.cs.ubc.ca/~cs221

Texts

Epp Discrete Math, Koffman Data Structs C++

Course Work

No late work; may be flexible with advance notice

- 10% Labs
- 15% Programming projects (~ 3)
- 15% Written homework (~ 3)
- 20% Midterm exam
- 40% Final exam

Must pass the final and combo of labs/assignments to pass the course.

Collaboration

You may work in groups of two people on:

- ▶ Labs
- ▶ Programming projects
- ▶ Written homework

→ LIM Wed Sp-7p
(LIN Mon 11-1p)

You may also collaborate with others as long as you follow the rules (see the website) and **acknowledge** their help on your assignment.

Don't violate the collaboration policy.

Course Mechanics

- ▶ Web page: `www.ugrad.cs.ubc.ca/~cs221`
- ▶ Piazza:
`https://piazza.com/ubc.ca/winterterm12016/cpsc221/home`
- ▶ UBC Connect site: `www.connect.ubc.ca`
- ▶ Labs are in ICCS X350
 - ▶ Use the Xshell program on the lab machines to ssh into a undergrad Unix machine (e.g. `lulu.ugrad.cs.ubc.ca`)
- ▶ Programming projects will be graded on UNIX/g++

What is a Data Structure?

Examples:

Array

Tree

Stack

Hash table / Map

Queue

Heaps

Graph

List

A definition:

A method of storing data provides, through a set of operations, a way to manipulate and access the data.

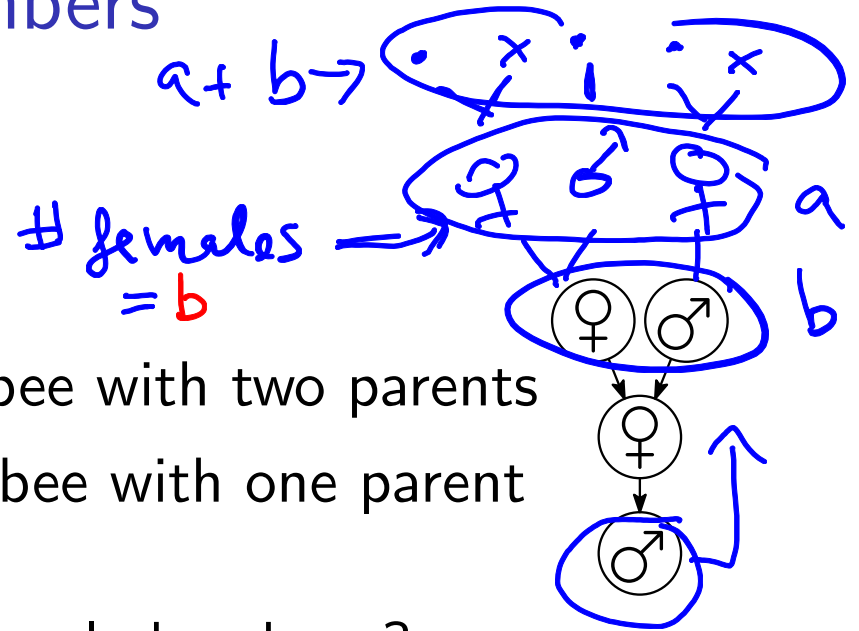
Observation

- ▶ All programs manipulate data
 - ▶ programs process, store, display, gather data
 - ▶ data can be information, numbers, images, sound
- ▶ ~~The programmer~~^{Yoy} must decide how to store and manipulate data
- ▶ This choice influences the program in many ways
 - ▶ execution speed
 - ▶ memory requirements
 - ▶ maintenance (debugging, extending, etc.)

Goals of the Course

- ▶ Become familiar with some of the fundamental data structures and algorithms in computer science
 - ▶ Learn when to use them
- ▶ Improve your ability to solve problems abstractly
 - ▶ Data structures and algorithms are the building blocks
- ▶ Improve your ability to analyze algorithms
 - ▶ Prove correctness
 - ▶ Gauge, compare, and improve time and space complexity
- ▶ Become modestly skilled with C++ and UNIX, but this is largely on your own!

Analysis Example: Fibonacci numbers



Bee ancestry:

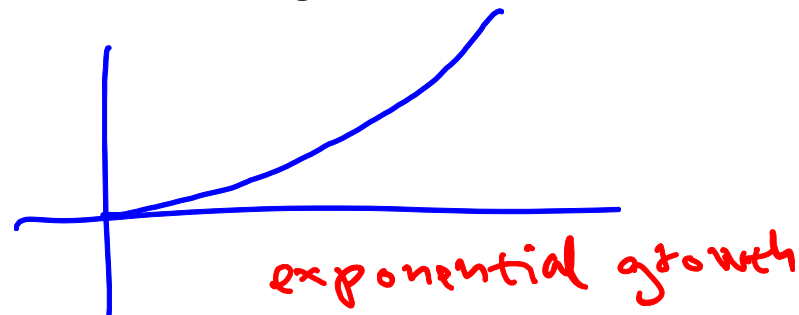
1. Fertilized egg becomes a female bee with two parents
2. Unfertilized egg becomes a male bee with one parent

How many great-grandparents does a male bee have?
great-great-grandparents? ...

$$Fib_n = Fib_{n-1} + Fib_{n-2}$$

Fibonacci numbers: 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, ...

First two numbers are 1; each succeeding number is the sum of the previous two numbers.



Recursive Fibonacci

Problem: Calculate the n th Fibonacci number.

Recursive definition:

$$fib_n = \begin{cases} 1 & \text{if } n = 1 \\ 1 & \text{if } n = 2 \\ fib_{n-1} + fib_{n-2} & \text{if } n \geq 3 \end{cases}$$

C++ code:

```
int fib(int n) {  
    if (n <= 2) return 1;  
    else return fib(n-1) + fib(n-2);  
}
```

$C_n = \#$ of times
fib() is called
on input n .

$$C_n = C_{n-1} + C_{n-2} + 1$$

Too slow!

$$C_n \geq fib(n) \Rightarrow \text{exponential running time}$$

Iterative Fibonacci

Idea: Use an array

```
int fib(int n) {  
    int F[n+1];  
  
    F[0]=0; F[1]=1; F[2]=1;  
    for( int i=3; i<=n; ++i ) {  
        F[i] = F[i-1] + F[i-2]; ← n-2 additions  
    }  
    return F[n];  
}
```

Handwritten annotations:

- Blue 'a' above ~~F[1]~~ and blue 'b' above ~~F[2]~~.
- Blue 'c' below ~~F[i]~~, blue 'b' below ~~F[i-1]~~, and blue 'a' below ~~F[i-2]~~.
- Red text: $a = b$ and $b = c$ with a bracket and the note "shift values".

(We don't really need the array.) ↑ see a box

Can we do better?

Fibonacci by formula

Idea: Use a formula (a *closed form solution* to the recursive definition.)

$$fib_n = \frac{\varphi^n - (-\varphi)^{-n}}{\sqrt{5}}$$

where $\varphi = (1 + \sqrt{5})/2 \approx 1.61803$.

```
#include <cmath>
int fib(int n) {
    double phi = (1 + sqrt(5))/2;
    return (pow(phi, n) - pow(-phi, -n))/sqrt(5);
}
```

running time?
O(1)? NO
O(n)? PROBABLY NO
PROBABLY YES
O(log n) $\varphi^{20} = \varphi^4 \times \varphi^{16}$

$\varphi^{16} \rightarrow$
 $\varphi^2 = \varphi \times \varphi$
 $\varphi^4 = \varphi^2 \times \varphi^2$
 $\varphi^8 = \varphi^4 \times \varphi^4$
 $\varphi^{16} = \varphi^8 \times \varphi^8$

Sadly, it's **impossible** to represent $\sqrt{5}$ exactly on a digital computer.

if $n = 2^k$, then to compute φ^n we need $k = \log n$ multiplications

Can we do better?

Fibonacci with Matrix Multiplication

$$\begin{aligned}
 & \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1+1 \\ 1 \end{bmatrix} = \begin{bmatrix} fib_3 \\ fib_2 \end{bmatrix} \\
 & \text{Handwritten: } fib_2 + fib_1 \text{ (with arrow pointing to } 1+1 \text{)} \\
 & \text{Handwritten: } fib_2 \text{ (circled, with arrow pointing to } fib_3 \text{)} \\
 & \text{Handwritten: } fib_1 \text{ (with arrow pointing to } fib_2 \text{)} \\
 \\
 & \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 2 \\ 1 \end{bmatrix} = \begin{bmatrix} fib_4 \\ fib_3 \end{bmatrix} \\
 & \text{Handwritten: } fib_3 + fib_2 \text{ (with arrow pointing to } 2 \text{)} \\
 & \text{Handwritten: } fib_3 \text{ (with arrow pointing to } fib_4 \text{)} \\
 & \text{Handwritten: } fib_2 \text{ (with arrow pointing to } fib_3 \text{)} \\
 \\
 & \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^{n-2} \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} fib_n \\ fib_{n-1} \end{bmatrix} \\
 & \text{Handwritten: } fib_n \text{ (circled)}
 \end{aligned}$$

How do we calculate $\begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^{n-2}$? $= \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ $a+b = fib_n$

Repeated Squaring

$$\begin{matrix} 4 & 3 & 2 & 1 \\ 2 & 2 & 2 & 2 & 1 \\ (1 & 1 & 1 & 1 & 1) \end{matrix} = 16 + 8 + 4 + 2 + 1 = 31$$

$$100 = (1 \ 1 \ 0 \ 0 \ 1 \ 0 \ 0)_2 = 2^6 + 2^5 + 2^2$$

$$A^{100} = A^{2^6} \times A^{2^5} \times A^{2^2}$$

$$A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}$$

$$A \times A = A^2$$

$$A^2 \times A^2 = A^4$$

$$A^4 \times A^4 = A^8$$

$$A^8 \times A^8 = A^{16}$$

$$A^{16} \times A^{16} = A^{32}$$

$$A^{32} \times A^{32} = A^{64}$$

digits in the binary representation of n is $\sim \log_2 n$

Example: $A^{100} = A^{64} \times A^{32} \times A^4$. 8 instead of 99 multiplications.

Generally, about $\log_2 n$ multiplications.

$< 2 \log_2 n$ matrix multiplications

Is this better than iterative Fibonacci?

Comparison (# of arithm. operations):

F_n	Iter.	Matrix.
F_{20}	18 ops better loops	$12 \times (4 + 1) + 1 = 61$ ops
F_{102}	100 ops	$12 \times (6 + 2) + 1 = 97$ ops better
\vdots	\vdots	\vdots
F_n	$\sim n$ ops	$\leq 24 \log_2 n$ ops much better for large n

\times

\times

\times

matrix

\checkmark

$\sim 24 \log_2 n$ int. operations

Abstract Data Type

Abstract Data Type

Mathematical description of an object and the set of operations on the object

what

interface

Example: **Dictionary ADT**

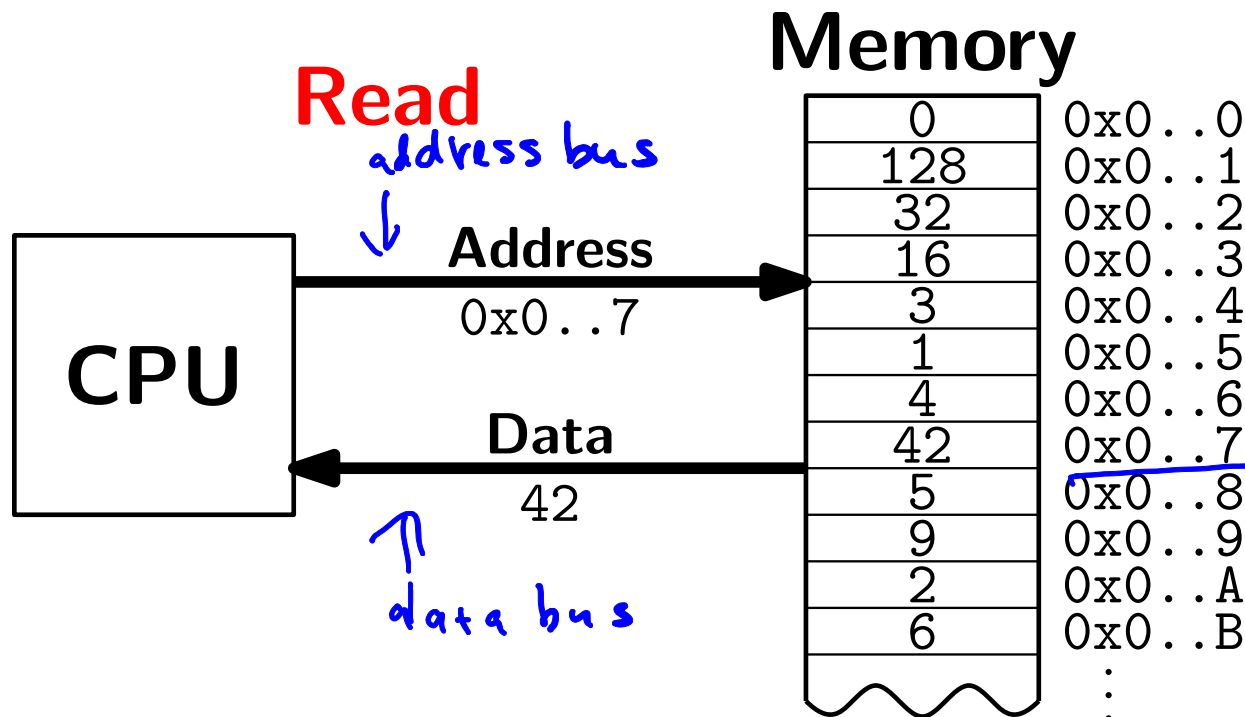
- ▶ Stores pairs of strings: (word, definition)
- ▶ Operations:
 - ▶ Insert(word, definition)
 - ▶ Delete(word)
 - ▶ Find(word)

Another Example: Array ADT

- ▶ Store things like integers, (pointers to) strings, etc.
- ▶ Operations:
 - ▶ Initialize an empty array that can hold n things.
`thing A[n];`
 - ▶ Access (read or write) the i th thing in the array ($0 \leq i \leq n - 1$).
`thing1 = A[i]; Read`
`A[i] = thing2; Write`

Why Arrays?

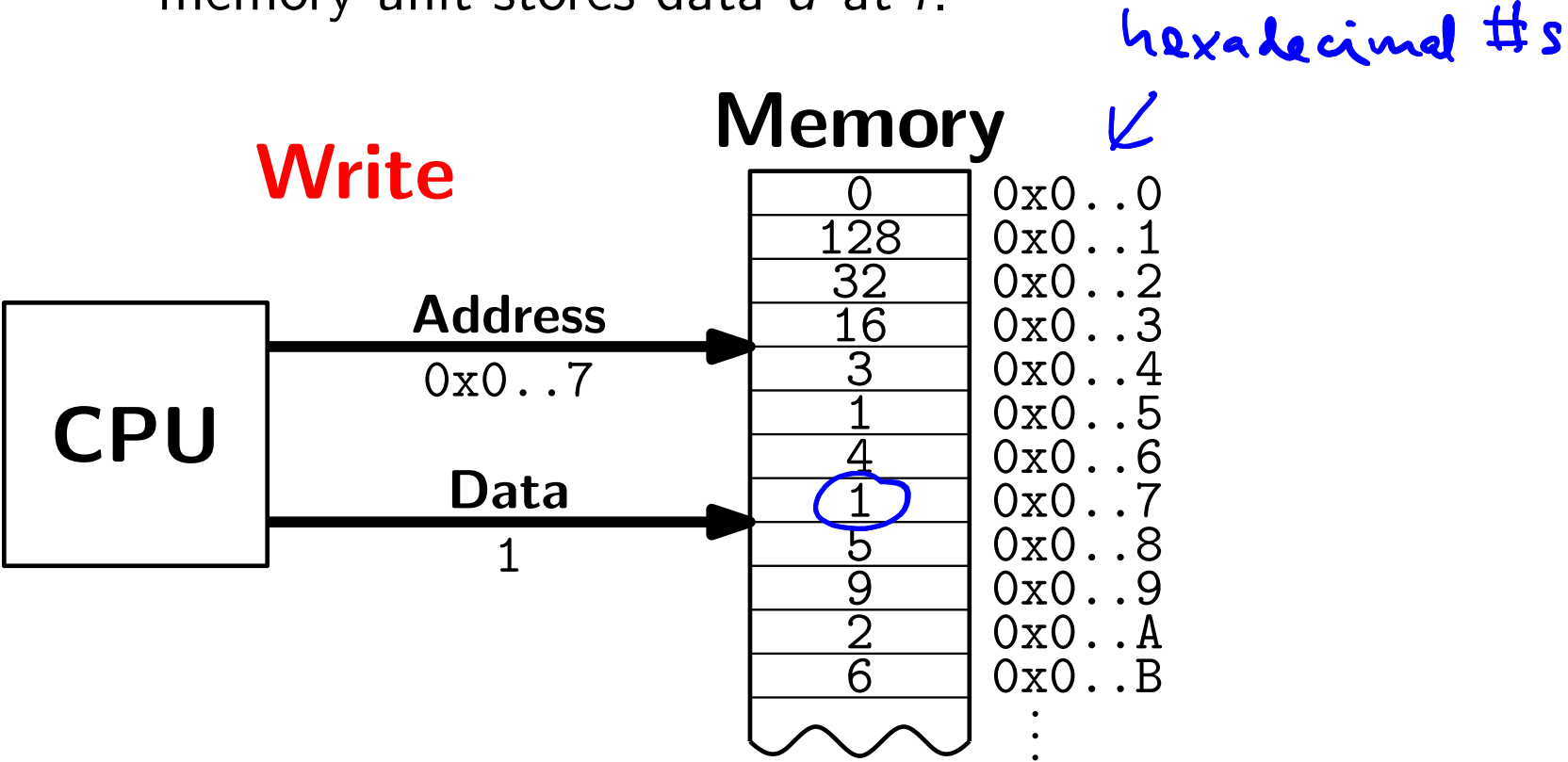
- ▶ Computer memory is an array.
Read: CPU provides address i ,
memory unit returns the data stored at i .



Why Arrays?

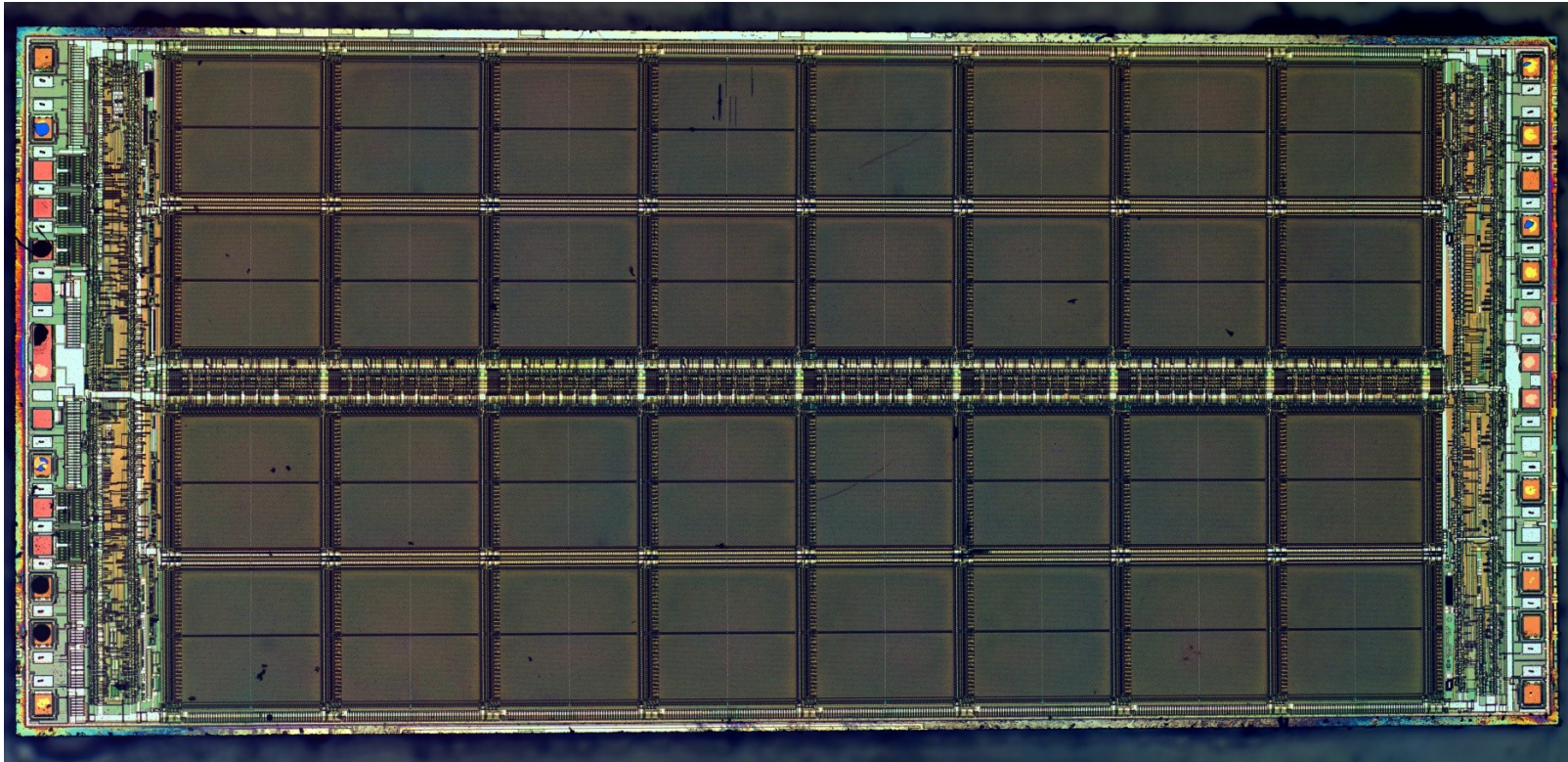
$$\underbrace{(0100)}_4 \underbrace{(1011)}_B)_2$$

- ▶ Computer memory is an array.
Write: CPU provides address i and data d ,
memory unit stores data d at i .



Why Arrays?

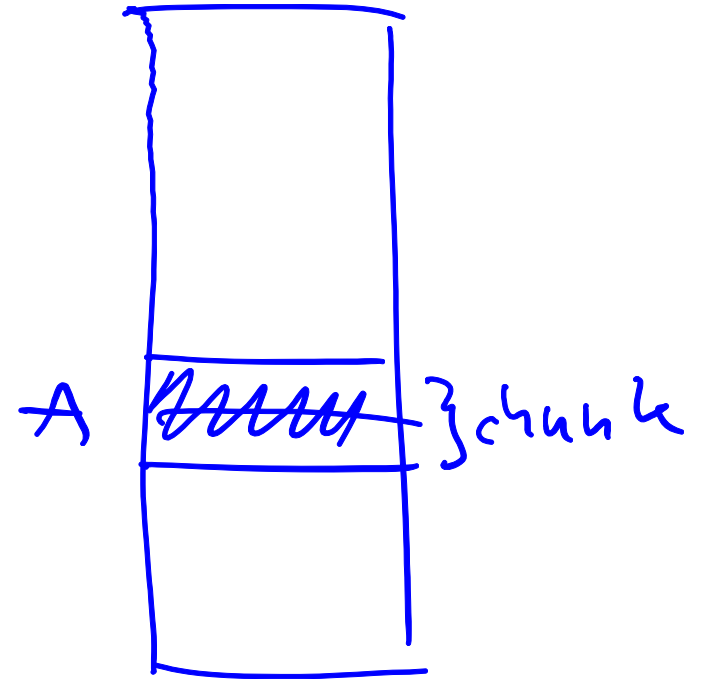
- ▶ Computer memory is an array. Every bit has a physical location.



<http://zeptobars.ru/en/read/how-to-open-microchip-asic-what-inside> licensed under Creative Commons Attribution 3.0 Unported.

Why Arrays?

- ▶ Computer memory is an array.
- ▶ Simple and fast.
- ▶ Used in almost every program.
- ▶ Used to implement other data structures.



Array limitations

- ▶ Need to know size when array is created.

Fix: Resizeable arrays.

vector in C++

If the array fills up, allocate a new, bigger array and copy the old contents to the new array.

2.5GB array A .. 1GB

- ▶ Indices are integers 0,1,2,...

Fix: Hashing.

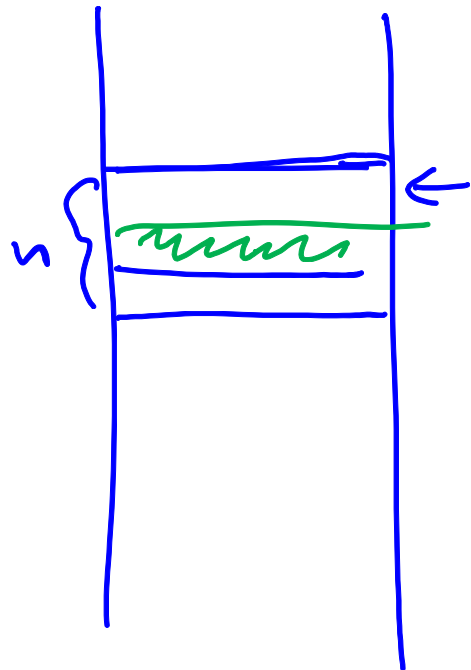
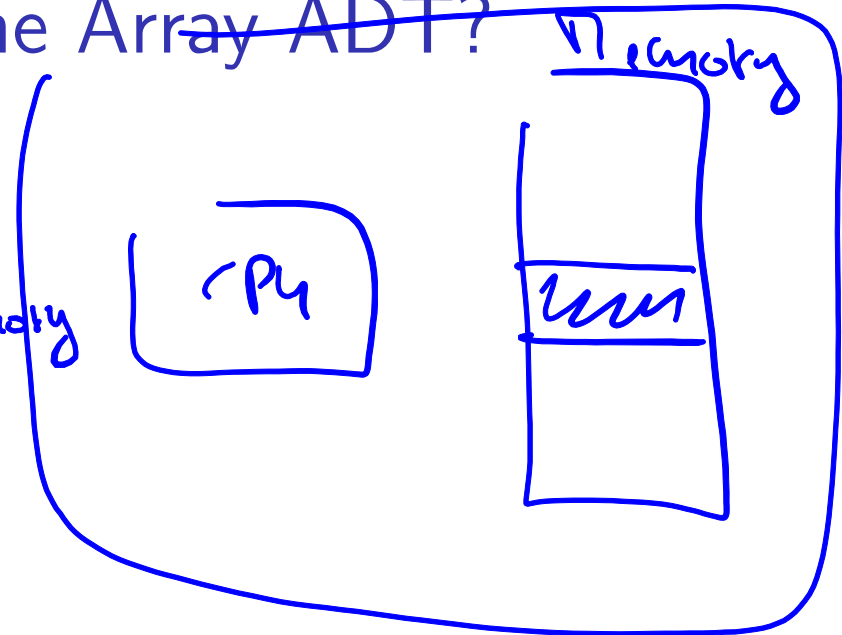
(more later)

associative arrays (javascript, Perl, Python, AWK)
Example:
 $A["cpse211"] = "course";$

How would you implement the Array ADT?

• memory management

- list of free chunks of memory (freelist)



pointer

create A of size n objects \Rightarrow we get
A .. address of the beginning of this chunk

$$A = 0x0007$$

$$A + 3 = 0x000A$$

A[3]

A + 3 * size (object)
address of the "3rd" object

$$\text{in C++: } * (A + 3) \cong A[3]$$

dereferencing

pointer arithmetic

How would you implement the Array ADT?

Arrays in C++

Create `int A[100];`

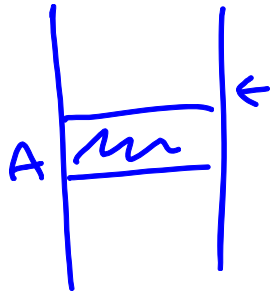
Access `for(int i=0; i<100; i++)`

`A[i] = (i+1) * A[i-1];`
 $\times(A+i)$ $\times(A+i-1)$

$\rightarrow A[-1]$
 \downarrow
 $\times(A-1)$

probably crashes

`g++ ...` not initialized
might initialize to
MSVS ... all zeros



How would you implement the Array ADT?

Arrays in C++

Create `int A[100];`

Access `for(int i=0; i<100; i++)`
`A[i] = (i+1) * A[i-1];`

Warning No bounds checking!

Data Structures as Algorithms

Algorithm

a high level, language independent description of a step-by-step process for solving a problem

Data Structure

a way of storing and organizing data so that it can be manipulated as described by an ADT

A data structure is defined by the algorithms that implement the ADT operations.



Why so many data structures?

Ideal data structure

fast, elegant, memory efficient

Trade-offs

- ▶ time vs. space
- ▶ performance vs. elegance
- ▶ generality vs. simplicity
- ▶ one operation's performance vs. another's

Data structures for Dictionary ADT

- ▶ List
- ▶ Skip list
- ▶ Binary search tree
- ▶ AVL tree
- ▶ Splay tree
- ▶ B-tree
- ▶ Red-Black tree
- ▶ Hash table

... why so many?



Code Implementation

Theory

- ▶ abstract base class (interface) describes ADT
- ▶ descendents implement data structures for the ADT
- ▶ data structures can change without affecting client code

Practice

- ▶ different implementations sometimes suggest different interfaces (generality vs. simplicity)
- ▶ performance of a data structure may influence the form of the client code (time vs. space, one operation vs. another)

ADT Presentation Algorithm

1. Present an ADT
2. Motivate with some applications
3. Repeat
 - 3.1 develop a data structure for the ADT
 - 3.2 analyze its properties
 - ▶ efficiency
 - ▶ correctness
 - ▶ limitations
 - ▶ ease of programming
4. Contrast data structure's strengths and weaknesses
 - ▶ understand when to use each one

Queue ADT

Queue operations

- ▶ create
- ▶ destroy
- ▶ enqueue
- ▶ dequeue
- ▶ is_empty



Queue property

If x is enqueued before y is enqueued, then x will be dequeued before y is dequeued.

FIFO: First In First Out

Applications of the Q

- ▶ Hold jobs for a printer
- ▶ Store packets on network routers
- ▶ Hold memory “freelists”
- ▶ Make waitlists fair
- ▶ Breadth first search

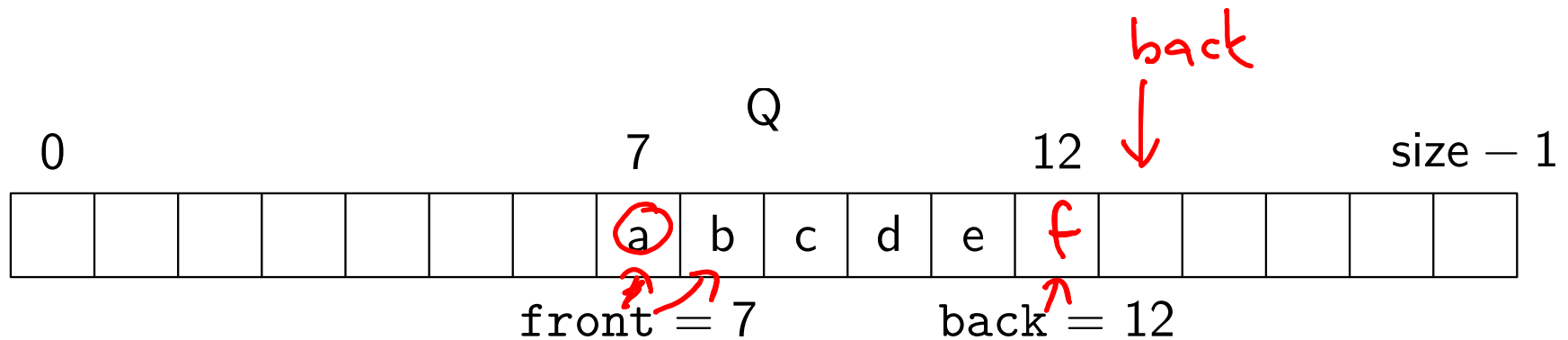
Abstract Q Example

enqueue R
enqueue O
dequeue
enqueue T
enqueue A
enqueue T
dequeue
dequeue
enqueue E
dequeue

In order, what letters are dequeued?

- a. OATE
- b. ROTA
- c. OTAE
- d. None of these, but it **can** be determined from just the ADT.
- e. None of these, and it **cannot** be determined from just the ADT.

Circular Array Q Data Structure



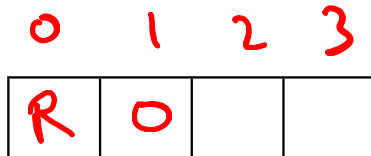
```
void enqueue(Object x) {  
    Q[back] = x; if (is_full()) explode();  
    back = (back + 1) % size; mod  
}  
  
bool is_empty() {  
    return (front == back);  
}  
  
Object dequeue() {  
    x = Q[front]; if (is_empty()) implode();  
    front = (front + 1) % size;  
    return x; a  
}  
  
bool is_full() {  
    return (front ==  
            (back + 1) % size);  
}
```

Circular Array Q Example

red .. elements of the Q

green .. leftover elements

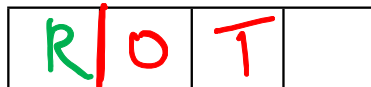
enqueue R



enqueue O

dequeue

enqueue T



enqueue A

enqueue I

dequeue

dequeue

enqueue E

dequeue



front	back
0	1
0	2
1	2
1	3
1	0
1	1
2	1
3	1
3	2
0	2

What are the final contents of the array?

a. RTE

b. RTET

* c. TETA correct

d. TE

e. None

calls explode() *

Linked List Q Data Structure

struct Node {

Object data;

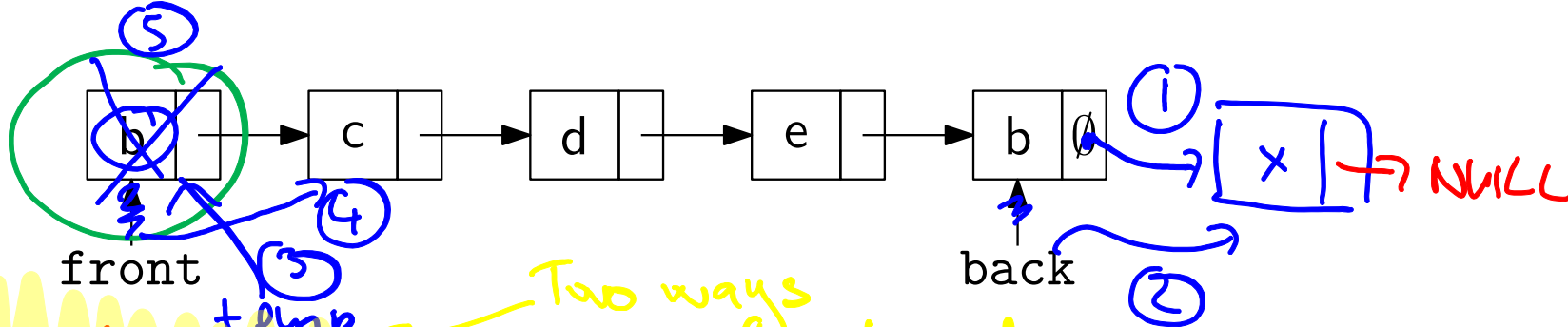
Node *next;

} Node(x) {
data = x; next = NULL;

Node *front, *back = NULL;
= NULL

```
void enqueue(Object x) {
    if (is_empty()) {
        front = (back = new Node(x));
    } else {
        front->next = NULL;
    }
    back->next = new Node(x);
    back = back->next;
}
}
```

```
bool is_empty() {
    return (front == NULL);
}
```



Two ways how to fix the code.

define NDEBUG

```
Object dequeue() {
    assert(!is_empty());
    Object ret = front->data;
    Node *temp = front;
    front = front->next;
    delete temp;
    return ret;
}
```

alternatives:

~~A) front = front->next;~~

DIY memory management

~~B) delete front;
front = front->next;~~

... memory leak
.. ok in Java
Garbage collection

Circular Array vs. Linked List

Ease of implementation

Same

Generality

CA .. limited # of elements

.. alt. CA could use dynamic arrays

Speed

Same, but subtle differences:

- new/delete takes time

Memory use

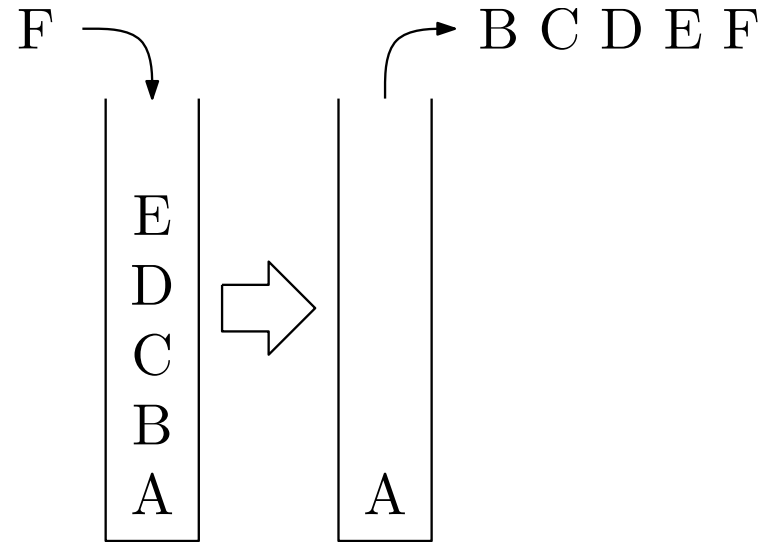
- cache performance worse for LL
- time for resizing CA

LL uses more memory (to store pointers)

Stack ADT

Stack operations

- ▶ create
- ▶ destroy
- ▶ push
- ▶ pop
- ▶ top
- ▶ is_empty



Stack property

if x is pushed before y is pushed, then x will be popped after y is popped.

LIFO: Last In First Out

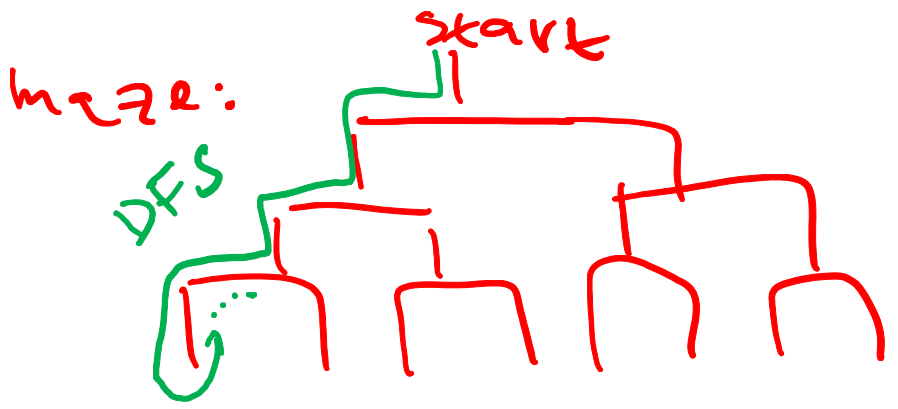
Stacks in Practice

- ▶ Function call stack
- ▶ Removing recursion
- ▶ Balancing symbols (parentheses)
- ▶ *evaluating arithmetic expressions*
- ▶ Evaluating Reverse Polish Notation
- ▶ Depth first search *"postfix"*

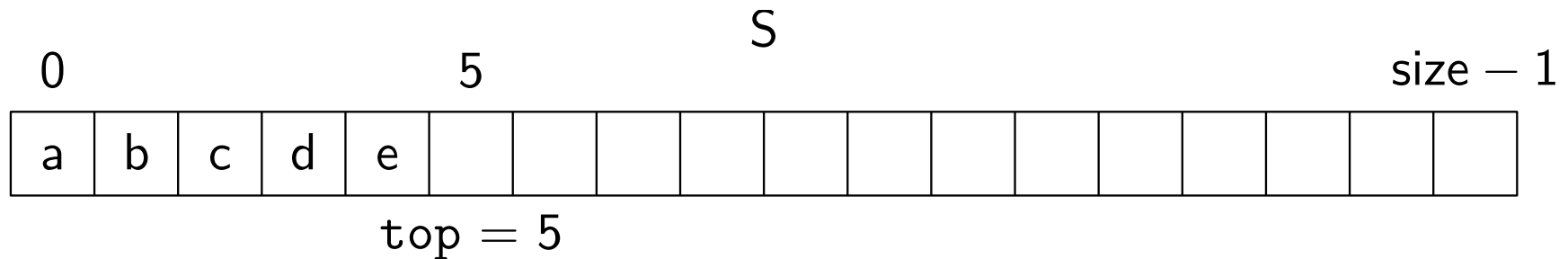
in arithmetic expression:
 $a + b * (c + d)$

$a \ b \ c \ d \ + \ * \ +$

used by:
PS (PostScript) .. Laser printers
Java VM



Array Stack Data Structure

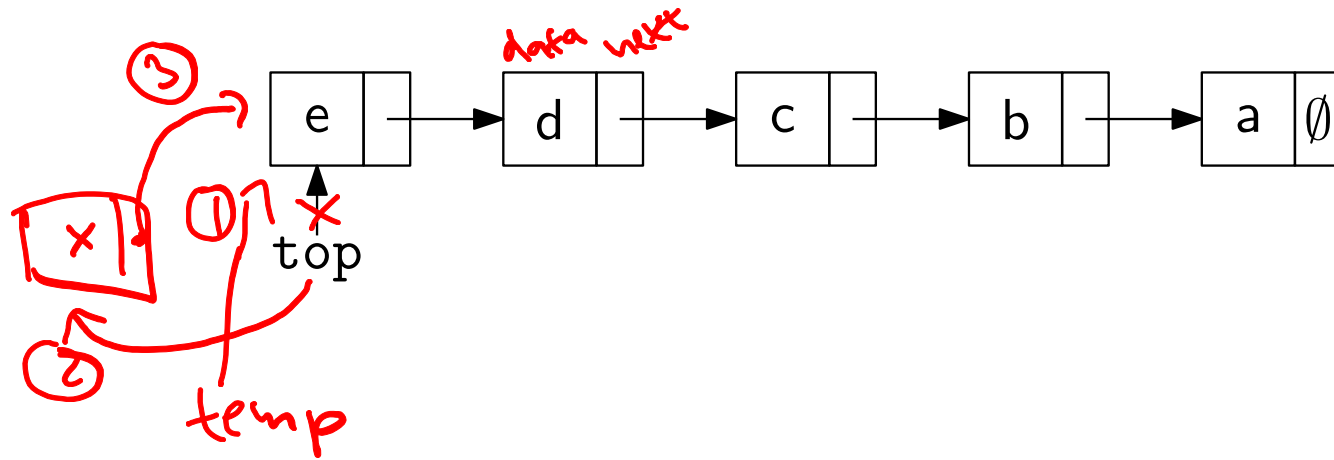


```
void push(Object x) {  
    assert(!is_full());  
    S[top] = x;  
    top++;  
}
```

```
Object top() {  
    assert(!is_empty());  
    return S[top-1];  
}
```

```
Object pop() {  
    assert(!is_empty());  
    top--;  
    return S[top];  
}  
  
bool is_empty() {  
    return( top == 0 );  
}  
  
bool is_full() {  
    return( top == size);  
}
```

Linked List Stack Data Structure



```
void push(Object x) {  
  ① Node *temp = top;  
  ② top = new Node(x);  
  ③ top->next = temp;  
}
```

*(*top).next*

```
Object top() {  
  assert(!is_empty());  
  return top->data;  
}
```

```
Object pop() {  
  assert(!is_empty());  
  Object ret = top->data;  
  Node *temp = top;  
  top = top->next;  
  delete temp;  
  return ret;  
}
```

```
bool is_empty() {  
  return( top == NULL );  
}
```


Deque ADT

[.deck:]

Deque (Double-ended queue) operations

- ▶ create/destroy
- ▶ pushL/pushR
- ▶ popL/popR
- ▶ is_empty



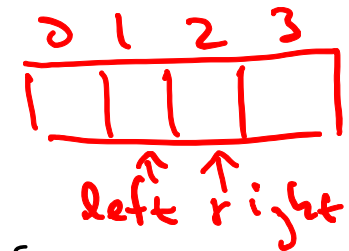
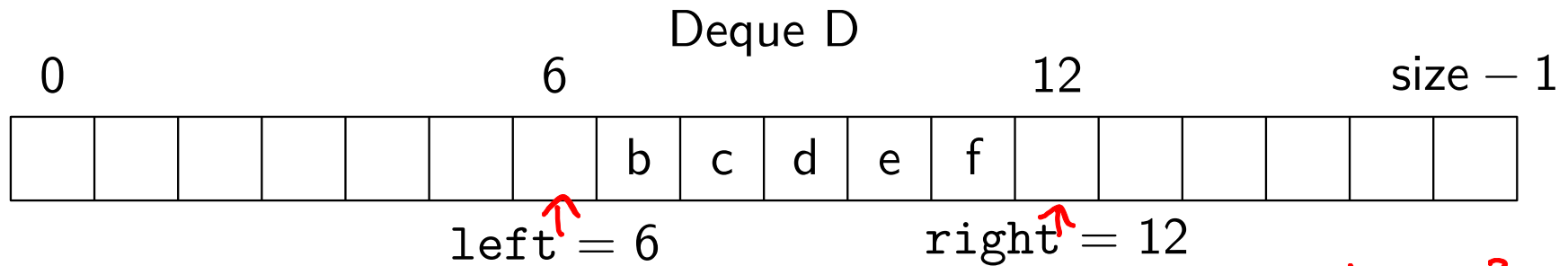
Deque property

Deque maintains a list of items.

push/pop adds to/removes from front(L)/back(R) of list.

undo "Stack"

Circular Array Deque Data Structure



```

void pushL(Object x) {
    assert(!is_full());
    D[left] = x;
    left = (left - 1) % size;
}

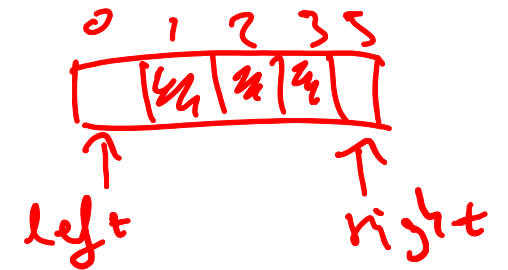
Object popR() {
    assert(!is_empty());
    right = (right - 1) % size;
    return D[right];
}
...
    
```

```

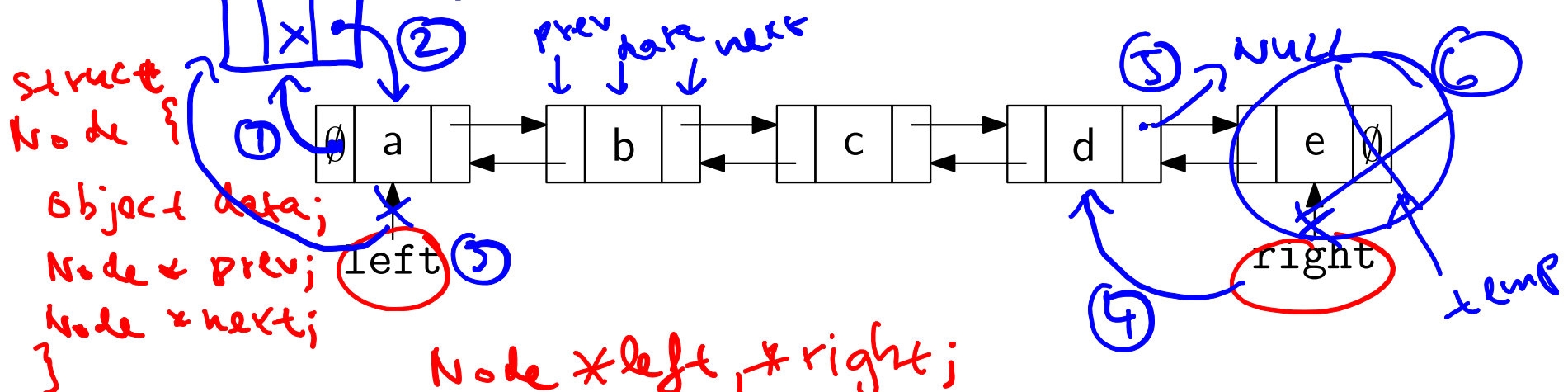
bool is_empty() {
    return( left ==
            (right - 1) % size);
}
    
```

```

bool is_full() {
    return( left ==
            (right + 1) % size);
}
    
```



Linked List Deque Data Structure



```

void pushL(Object x) {
    if( is_empty() )
        left = right = new Node(x);
    else {
        ① left->prev = new Node(x);
        ② left->prev->next = left;
        ③ left = left->prev;
    }
}

Object popR() {
    assert(!is_empty());
    Object ret = right->data;
    Node *temp = right;
    ④ right = right->prev;
    ⑤ if( right ) right->next = NULL;
    else left = NULL;
    ⑥ delete temp;
    return ret;
}

bool is_empty() {return left==NULL;}
    
```

test if right != NULL

Data structures you should already know (a bit)

- ▶ Arrays
- ▶ Linked lists
- ▶ Trees
- ▶ Queues
- ▶ Stacks