

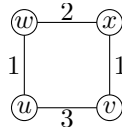
The following examples show how easy it can be to write an incorrect proof that Kruskal's algorithm produces a minimum spanning tree.

### First Wrong Proof

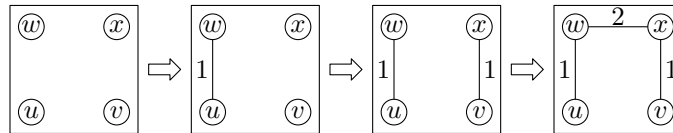
This proof came from earlier CS221 class notes:

1. We already know Kruskal's alg. finds a spanning tree  $T$ .
2. Assume another spanning tree,  $T_1$ , has *lower cost* than  $T$ .
3. Pick an edge  $e_1 = (u, v)$  in  $T_1$  that's *not* in  $T$ .
4. Kruskal's alg. connects  $u$  and  $v$  at some point during its execution using a different edge  $e$ .
5. But  $e$  must have at most the same cost as  $e_1$  (or Kruskal's would have used  $e_1$  to connect  $u$  and  $v$ )
6. So, replace  $e_1$  in  $T_1$  with  $e$  (at worst keeping the cost the same)
7. Repeat until  $T_1$  is the same as  $T$ : **contradiction!**

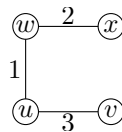
Consider the following graph:



Kruskal's algorithm produced a minimum spanning tree  $T$  for this graph as follows:



A different spanning tree  $T_1$  is:



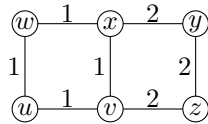
According to the proof, the edge  $e_1 = (u, v)$  in  $T_1$  can be replaced by  $e = (w, x)$  but  $e$  is already in  $T_1$ . Oops.

## Second Wrong Proof

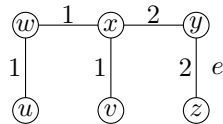
This proof comes from our textbook, Epp p.706 (4th Edition):

1. We already know Kruskal's finds a spanning tree  $T$  of  $G$ .
2. Let  $T_1$  be a minimum spanning tree (MST) of  $G$  that has the most edges in common with  $T$  and assume  $T_1 \neq T$ .
3. There is an edge  $e$  in  $T$  that is not in  $T_1$ .
4. Adding edge  $e$  to  $T_1$  produces a cycle. Let  $e_1$  be an edge of this cycle that is not in  $T$ .
5. The weight of  $e$  is at most the weight of  $e_1$  because at the time that Kruskal's added  $e$ ,  $e_1$  was also available to be added "*since it was not already in  $T$ , and at that stage its addition could not produce a circuit since  $e$  was not in  $T$* "
6. Replace  $e_1$  in  $T_1$  with  $e$  to get a MST that is closer to  $T$ . **contradiction!**

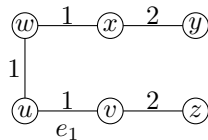
Consider the following graph  $G$ :



Kruskal's algorithm produced the following MST  $T$  for  $G$ :



A different spanning tree  $T_1$  is:



According to the proof, the weight of edge  $e = (y, z)$  in  $T$  is at most the weight of  $e_1 = (u, v)$ , but that is not true. Oops.

## Correct Proof

From Wikipedia:

Let  $G$  be a connected, edge-weighted graph and  $K$  be the subgraph of  $G$  produced by Kruskal's algorithm.  $K$  contains no cycle (by design) and  $K$  is connected since the first (lowest weight) edge that joins two components of  $K$  would have been added by Kruskal's. Thus  $K$  is a spanning tree of  $G$ .

Loop invariant: At every iteration, the set,  $F$ , of edges chosen by Kruskal's so far is a subset of the edges of some minimum spanning tree of  $G$ .

This is true at the start of Kruskal's when  $F = \emptyset$ . Let's assume that it's true up to iteration  $i - 1$  and we'll show that it's true at iteration  $i$ . Let  $F$  be the set of edges at iteration  $i - 1$  and  $T$  be a minimum spanning tree that contains  $F$ . If the  $i$ th iteration adds no edge to  $F$  or adds an edge already in  $T$  to  $F$  then there's nothing to prove. So suppose  $e \notin T$  is added to  $F$ . Since  $T$  is a spanning tree,  $T + e$  contains a unique cycle  $C$ . Let  $f$  be an edge in  $C$  but not in  $F$ . (Since  $F$  contains no cycle,  $f$  must exist.) Since  $T$  is a minimum spanning tree and  $T - f + e$  is a spanning tree,  $w(e) \geq w(f)$ . Since  $F + f \subseteq T$ ,  $F + f$  does not contain a cycle so Kruskal's must not have considered  $f$  yet, implying that  $w(e) \leq w(f)$ . Thus,  $T - f + e$  is a minimum spanning tree containing  $F + e$ .

In particular, the invariant holds when  $F$  becomes a spanning tree, which eventually happens (see above).